

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST  
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM  
(Form 1A)

Institution: University of Alabama at Birmingham  
Name of Respondent: Tricia Raczynski

Telephone Number: 205-934-5121  
E-Mail Address: trish@uab.edu

A. IMMEDIATE CAPITAL REQUIREMENTS - YEAR 1 (FY 2012-2013)

Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code. Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY.
	Education Trust Fund	Other State Funding	Other Funds												
<b>1. New Construction/Acquisition Projects</b>															
1.		\$20,000,000	\$20,000,000	\$40,000,000	State-wide Capital Bond	Federal Funds		2R	127,800	82,550	E&G Health		1/1/2013	4/1/2015	H
2.		\$11,730,000	\$11,270,000	\$23,000,000	State-wide Capital Bond	Federal Funds (Department of		17 (Parking)	313,028	313,028	Auxiliary	No	6/1/2013	6/9/2014	B
3.		\$6,300,000	\$2,700,000	\$9,000,000	State-wide Capital Bond	UAB Plant Funds		1	33,600	20,160	E&G Health		4/1/2013	3/1/2014	B
4.		\$36,000,000	\$12,000,000	\$48,000,000	State-wide Capital Bond	UAB Bond Funds		1	200,000	130,000	Education & General (E&G)		6/1/2013	7/1/2015	C/E
		<b>\$74,030,000</b>	<b>\$45,970,000</b>	<b>\$120,000,000</b>											
<b>2. Renovation/Remodeling Projects</b>															
1.		\$3,000,000	\$2,000,000	\$5,000,000	State-wide Capital Bond	UAB Plant Funds/Gift Funds	ULL	1	29,474	24,363	E&G	Yes (Partial)	6/1/2013	5/1/2014	C/E
5.		\$15,000,000	\$15,000,000	\$30,000,000	State-wide Capital Bond	UAB Bond Funds		1	167,000	125,000	Education & General (E&G)		10/12/2012	7/1/2014	B
3.															
		<b>\$18,000,000</b>	<b>\$17,000,000</b>	<b>\$35,000,000</b>											
<b>3. Major Capital Equipment Projects</b>															
1.		\$5,000,000		\$5,000,000	State-wide Capital Bond		Multiple	1			E&G		10/1/2012	9/30/2013	E
2.		\$10,000,000	\$3,000,000	\$13,000,000	State-wide Capital Bond	UAB Plant Funds	Multiple	1			Other		10/1/2012	9/30/2013	E
3.															
4.															
		<b>\$15,000,000</b>	<b>\$3,000,000</b>	<b>\$18,000,000</b>											
<b>4. Deferred Maintenance/Facilities Renewal (See Instructions)</b>															
1.		\$7,000,000		\$7,000,000	State-wide Capital Bond		NA	NA	NA	NA	E&G/E&G Health (50/50)	No	10/1/2012	9/30/2013	E/J
2.															
3.															
4.															
		<b>\$7,000,000</b>		<b>\$7,000,000</b>											
<b>Total Immediate Year 1 Capital Requirements</b>		<b>\$114,030,000</b>	<b>\$65,970,000</b>	<b>\$180,000,000</b>											

A brief description and justification must be attached for each project listed above.

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST  
CAPITAL REQUIREMENTS SUMMARY AND NEEDS ASSESSMENT FORM  
(Form 1B)

Institution: University of Alabama at Birmir  
Name of Respondent: Tricia Raczynski

Telephone Number: 205-934-5121  
E-Mail Address: [trish@uab.edu](mailto:trish@uab.edu)

B. INTERMEDIATE CAPITAL REQUIREMENTS - YEAR 2 (FY 2013-2014)

	Institutional Priority	Funding Sources			Estimated Total Cost	Specify Source(s) Other State	Specify Source(s) Other Funds	Building Number (If existing Facility or Facilities)	Space Utilization Description (If more than 1 Code used include % for each Code Click here to view Codes)	Projected Gross Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Projected Net Assignable Square Feet. (Should be used only for Subsection 1 or 2 Projects)	Space Category (If more than 1 Code used include % for each Code. Click here to view Codes)	Change in Purpose (Yes or No Depending on Project)	Projected Start/ Acquisition Date (Date reported cannot be before the start of the fiscal year to be reported on the form. Fiscal Years run from Oct. 1 -Sept. 30)	Projected Completion Date	Basis of Requirement (Use no more than 2 Codes. Click here to view Codes) USE LETTER OF CODE ONLY
		Education Trust Fund	Other State Funding	Other Funds												
<b>1. New Construction/Acquisition Projects</b>																
1.																
2.																
3.																
4.																
<b>Subtotal</b>																
<b>2. Renovation/Remodeling Projects</b>																
1.																
2.																
3.																
4.																
<b>Subtotal</b>																
<b>3. Major Capital Equipment Projects</b>																
1.																
2.																
3.																
4.																
<b>Subtotal</b>																
<b>4. Deferred Maintenance/Facilities Renewal (See Instructions)</b>																
1. Facilities Renewal	1		\$7,000,000	\$7,000,000		State-wide Capital Bond						E&G		10/1/2013	9/30/2014	E
2.																
3.																
4.																
<b>Subtotal</b>			<b>\$7,000,000</b>	<b>\$7,000,000</b>												
<b>Total Intermediate Year 2 Capital Requirements</b>			<b>\$7,000,000</b>	<b>\$7,000,000</b>												

**A brief description and justification must be attached for each project listed above.**

Provide a succinct but thorough justification of the need for the capital project. This information may be included in a separate Word Processing document. See instructions for further information.

FACILITIES MASTER PLAN / CAPITAL PROJECT REQUEST  
 CAPITAL REQUIREMENTS SUMMARY  
 (Form 1C)

Institution: University of Alabama at Birmingham  
 Name of Respondent: Tricia Raczynski  
 Telephone Number: 205 934-5121 E-Mail Address: [trish@uab.edu](mailto:trish@uab.edu)

C. LONG TERM CAPITAL REQUIREMENTS - YEARS 3-5  
 (FY 2014-2015 through FY 2016-2017)

	Estimated Total Cost
1. New Construction/Acquisition Projects	
1. Residence Halls	\$25,000,000
2. New Science Laboratory Building	\$61,500,000
3. Arts & Sciences Building Phase 2	\$24,000,000
<b>Subtotal</b>	<b>\$110,500,000</b>
2. Renovation/Remodeling Projects	
1. Campbell Hall	\$13,000,000
2. Volker Hall Tower 6th Floor	\$2,000,000
3. Miller Building IT	\$5,000,000
4. Renovate 3rd Floor of Learning Resource Center	\$5,000,000
5. Addition to Chemistry Building	\$28,000,000
<b>Subtotal</b>	<b>\$53,000,000</b>
3. Major Capital Equipment Projects	
1.	_____
2.	_____
3.	_____
4.	_____
<b>Subtotal</b>	_____
4. Deferred Maintenance/Facilities Renewal (See Instructions)	
1.	_____
2.	_____
3.	_____
4.	_____
<b>Subtotal</b>	_____
 Total Long Term Capital Requirements	 <u>\$163,500,000</u>
 Funding Source for All Long Term Projects:	
Education Trust Fund	\$ _____
Other State Funding	\$ <u>65,400,000</u>
Other Funds	\$ <u>98,100,000</u>

\*\*\*\*\*  
 D. TOTAL ALL CAPITAL PROJECTS \$ 350,500,000  
 (The total of Form1A, 1B and 1C  
 should be reported in Part D)

## STATEMENT OF BONDED INDEBTEDNESS AS OF SEPTEMBER 30, 2011

Institution: University of Alabama at Birmingham

Component (E&G, Auxiliary, Hospital, Health, Other) All, except Hospital

Name of Respondent: Tricia Raczynski

Telephone Number: 205-934-5121 E-Mail Address: trish@uab.edu

NAME OF BOND ISSUE	PROJECT USE (Briefly describe project)	DATE OF ORIGINAL ISSUANCE	ORIGINAL VALUE	AMOUNT OUTSTANDING As of 9/30/2011	AMOUNT OF DEBT SERVICE As of 9/30/2011			SOURCE OF DEBT SERVICE PAYMENT	DATE OF MATURITY
					PRINCIPAL	INTEREST	TOTAL		
Series 1993B	Various campus projects	6/1/1993	\$17,100,000	\$3,200,000	\$1,000,000	\$177,053	\$1,177,053	E&G	2013
Series 2002	Refund Series 1993A	12/1/2002	\$11,455,000	\$3,490,000	\$1,120,000	\$88,895	\$1,208,895	E&G & Auxiliary	2013
Series 2003A	Various campus projects	5/1/2003	\$69,930,000	\$55,185,000	\$2,585,000	\$2,202,678	\$4,787,678	E&G & Auxiliary	2027
Series 2005A	Refund Series 1999	9/1/2005	\$60,375,000	\$40,065,000	\$4,510,000	\$1,784,850	\$6,294,850	E&G	2020
Series 2005B	Various campus projects	10/1/2005	\$53,525,000	\$46,670,000	\$2,165,000	\$1,993,688	\$4,158,688	E&G & Auxiliary	2027
Series 2010A	Various campus projects	11/10/2010	\$54,650,000	\$54,650,000	\$720,000	\$2,165,519	\$2,885,519	E&G	2040
Series 2010B	Various campus projects	11/11/2010	\$52,975,000	\$52,975,000	\$190,000	\$2,523,848	\$2,713,848	E&G	2040
Series 2010C	Refund Series 2001	11/12/2010	\$32,902,942	\$32,902,942	\$2,834,733	\$1,168,804	\$4,003,537	E&G & Auxiliary	2027
Total			\$352,912,942	\$289,137,942	\$15,124,733	\$12,105,333	\$27,230,066		

Please be as specific as possible regarding the sources of debt service payments.

University of Alabama at Birmingham  
Capital Requirements Project Description

Immediate (FY 2013)

Priority: 1

Project

**Informatics Research Building**

The Project will construct a new informatics research building adjacent to the existing School of Nursing Building on 18th Street South. The building will be eight stories with a total of approximately 127,800 gross square feet. Approximately two floors will be dedicated to computer hardware for informatics research with the other six floors housing offices for the faculty and staff involved in the research. Once complete, the Project will allow UAB to consolidate faculty and staff involved in informatics into a single location to provide more efficiencies and synergies in their activities.

Priority: 2

Project

**Addition to Hill University Center**

The Project will demolish 7,000 GSF of the existing Great Hall, and build a 3 floor, 38,000 GSF addition as well as totally renovate the existing 139,000 GSF. The new addition will replace meeting spaces from the Great Hall plus expand them to appropriate size with related support spaces, as well as providing spaces for Student Life, student multi-purpose space, and expanded collegiate related retail space. The renovation of the existing space will allow better utilization of the space for the student services areas, such as admissions, registration, etc.

Priority: 3

Project

**Addition to the School of Nursing Building**

The School of Nursing Building is located on University Boulevard between 18<sup>th</sup> Street and 17<sup>th</sup> Street south. The Project will demolish a portion of the existing building which was constructed in 1971 and construct a new six story tower with a total of 33,600 gsf. The new building will provide up to date office and support space for the School of Nursing.

Priority: 4

Project

### **Arts & Sciences Building – Phase I**

The Project will build a 5 story building to replace the existing Education Building, which was constructed in 1971, and to support the new College of Arts and Sciences with 200,000 GSF of faculty offices and classrooms. Once the Project is complete, the Education Building will be demolished.

Priority: 5

Project

### **Classroom Technology Upgrades**

UAB recently reviewed its existing classrooms and identified those requiring upgrades in technology in order to utilize new teaching methodologies. The use of technology in the classroom has become more important to provide students with the most up-to-date tools to enable them to function in the current environment. Upgrades will include the addition of appropriate audio-visual equipment, including smart boards and internet access.

Priority: 6

Project

### **Facilities Renewal**

The UAB FY 2012-2013 capital request for educational and general E & G facilities for Facility Renewal (deferred maintenance/renovation) represents a program to systematically return facilities to a serviceable condition from the cumulative effects of normal usage, weather deterioration, etc. The total dollar figure for UAB for E & G facility renewal is substantial, with \$7 million of that total being requested for FY 2012-2013. A Facilities Renewal Five-Year Plan report is annually updated by Facilities Management to identify projects to be addressed over a period of five years. This detailed report includes: 1) Building; 2) Brief description of the Project requirements; 3) Estimated cost of the project; 4) Years (1-5) project is projected to be addressed; and 5) Total estimated cost for the different categories of projects. This FY 2012-2013 request represents a dollar amount that can be utilized reasonably and effectively by the University staff during one fiscal year, while at the same time causing minimal disruption to the routine activities of the University.

The FY 2012-2013 facilities renewal capital request does not include facilities that have been designated as those to be minimally maintained only or which have been scheduled to be demolished in the near future. Such facilities, for the most part, are to be replaced either through the renovation of existing space or by the acquisition of additional space. Likewise, facilities that are designated as auxiliary or Hospital facilities have been excluded from this request. UAB's FY 2012-2013 facilities renewal capital request includes the following categories of projects: 1) Deferred Maintenance; 2) Plant Renewal; 3) Plant Adaptation; 4) Standards; and 5) Other.

Priority: 7

Project

### **18<sup>th</sup> Street Parking Deck/Intermodal Facility**

The University's shuttle system, the UAB Campus Ride and Escort, provides transportation services to employees and students. The proposed intermodal facility, which will have 940 parking spaces on six levels, will be served by this shuttle system. In addition, the proposed facility is located on a regular Birmingham-Jefferson County Transit Authority route. The proposed facility will receive buses, shuttles, vans, taxis and bicycles. With its easy access to campus and city activities, vehicular movement on the campus will be reduced, thereby reducing air pollutants and traffic congestion.

Priority: 8

Project

### **Voice over Internet Protocol**

As communications technology has changed and improved, it has become more cost effective to provide telephone service utilizing the same infrastructure as that utilized for network access. This change provides efficiency through the use of a single wiring structure in buildings instead of the current separate wiring structures. In order to utilize the existing network infrastructure for voice communications, new voice switching equipment and phone instruments are required.

Priority: 9

Project

### **Renovation of Ullman Building**

The Ullman Building has three parts: The original building constructed in 1901, an east addition built in 1903 and another two story classroom addition, Ullman West, constructed in 1950. These buildings were originally constructed as classrooms and limited office space for a high school. Over time the 1901/03 buildings have been converted to faculty office space. Much of this space is substandard and in need of major repairs.

The Project would demolish Ullman West and restore the west façade of the original building, within budgetary and architectural constraints, to its original condition. The interior of the building will be demolished and renovated with new wall, HVAC, plumbing and mechanical systems, new restrooms meeting the requirements of the Americans with Disabilities Act (ADA), new fire stairs and an elevator. The building would be used for office space.

University of Alabama at Birmingham

Capital Requirements Project Description

Intermediate (FY 2014)

Priority: 1

Project

**Facilities Renewal**

The UAB FY 2013-2014 capital request for educational and general E & G facilities for Facility Renewal (deferred maintenance/renovation) represents a program to systematically return facilities to a serviceable condition from the cumulative effects of normal usage, weather deterioration, etc. The total dollar figure for UAB for E & G facility renewal is substantial, with \$7 million of that total being requested for FY 2013-2014. A Facilities Renewal Five-Year Plan report is annually updated by Facilities Management to identify projects to be addressed over a period of five years. This detailed report includes: 1) Building; 2) Brief description of the Project requirements; 3) Estimated cost of the project; 4) Years (1-5) project is projected to be addressed; and 5) Total estimated cost for the different categories of projects. This FY 2013-2014 request represents a dollar amount that can be utilized reasonably and effectively by the University staff during one fiscal year, while at the same time causing minimal disruption to the routine activities of the University.

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