

FY 2011-2012

Consolidated Budget
Recommendation

Alabama Commission
on Higher Education



ALABAMA COMMISSION ON HIGHER EDUCATION

CONSOLIDATED BUDGET RECOMMENDATION

FOR FISCAL YEAR 2011-2012

"..... The Commission shall receive, evaluate and coordinate budget requests for the public institutions of higher education of this state, shall hold open hearings on the budget requests of the separate institutions and shall present to each institution and to the Governor and the Legislature, a single unified budget report containing budget recommendations for separate appropriations to each of the institutions. The consolidated budget and analysis of the Commission shall be accompanied by the original requests and their justifications as submitted by each institution. The recommendations of the commission shall be derived directly from its assessment of the actual funding needs of each of the universities, as presented to it by the presidents, which assessment may include, but shall not be limited to, derived conclusions that may be based upon standard techniques of objective measurement, need and unit cost figures arrived at through the use of comparative and verified data secured from the various institutions, applied in an impartial and objective manner, and comparison shall be made not only between similar functions of institutions in Alabama but also between Alabama institutions and similar functions of institutions located in other states, provided that nothing herein shall be construed to prohibit any institutions of higher education in this state from submitting any matter pertaining to the financial operation and needs of said institution to the Legislature or to the Governor at any time."

Ala. Code §16-5-9(b) (1975)

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Ala. Code §16-5-9(b) (1975)

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SECTION A

FY 2011-2012 CONSOLIDATED BUDGET RECOMMENDATION

by

THE ALABAMA COMMISSION ON HIGHER EDUCATION

Consolidated Budget Recommendation By The Alabama Commission on Higher Education

As prescribed by Section 16-5-9(b) of the Code of Alabama, the Alabama Commission on Higher Education has evaluated the budgets submitted by the senior public institutions of higher education and by the Chancellor of the community and technical colleges. At the Commission meeting on December 10, 2010, the Commission reached two sets of higher education funding recommendations for Fiscal Year 2011-2012.

The first recommendation, identified as the FY 2011-2012 Alabama Commission on Higher Education (ACHE) Funding Standard, (column 2 of the Executive Summary table, pages A-4 & A-5) is a statement of the Commission's estimate of the basic financial requirements that should be provided to support the institutions and their programs if Alabama higher education is to provide regionally competitive education to its citizens. The second recommendation, identified as the FY 2011-2012 Recommendation, (column 8 of the Executive Summary table on page A-4 & column 7 on page A-5) provides an overall appropriation increase of approximately eleven percent (10.73%) from the Education Trust Fund (ETF) for public colleges and universities.

The Commission recommends a funding level of \$1,449,586,273 for all public colleges and universities. The recommendation is based on the following:

FIRST PRIORITY RECOMMENDATION:

- 1) That each institution receives the unprorated FY 2010-2011 total appropriation as its base. No proration for this fiscal year has yet been declared and although we would hope this would remain the case, another year of proration is a distinct possibility. Should this be the case, we advocate that the base for FY 2011-12 should be the unprorated FY 2010-2011 base, not a prorated allocation as has happened in past years.
- 2) PEEHIP rate increase (the state provided health insurance for two-year public institutions, JSU and Athens, only) and TRS retirement rate increases (two and four-year institutions)
- 3) Fund rate increase for mandated PEEHIP retirees' health insurance rate for public senior institutions who do not participate in PEEHIP (all except Athens and JSU).
- 4) Funds needed to fund the ASU-Ph.D in Microbiology program as per the Knight v. Alabama Settlement Agreement are appropriated.
- 5) Funds needed to replace those lost when the FY 2010-11 State Fiscal Stabilization Funds (SFSF) are gone

6) In addition to the following the recommendation for other State-wide higher education lines are as follows

- a. Other State-wide higher education lines receive their unprorated FY 2010-2011 total appropriation as their base.
- b. Additional funds for State-wide lines are appropriated as shown in the recommendation where additional considerations were made.

SECOND PRIORITY RECOMMENDATION

After the above items are funded then any additional funds for the public colleges and universities should be distributed based on the index of funds cut from the FY 2008 appropriation to bring the appropriation back up to the FY 2008 level (column 11 of the Executive Summary table on page A-4 & column 10 on page A-5).

Although the ACHE Standard calculation was not used as the main part of this recommendation due to an understanding of the fiscal realities of the State, the Alabama Commission on Higher Education would like to encourage the Governor and the Legislature to recognize the ACHE Standard as a model to strive for in order to continue the progress that was being made prior to FY 2010 in achieving a more balanced methodology of funding the needs of Higher Education.

The Commission approved these recommendations at its December 10, 2010 meeting.

Alabama Commission on Higher Education (ACHE) Funding Standard

The ACHE Funding Standard (page A-8) is based on the following (see Section C for a more detailed explanation of the ACHE Funding Standard):

- (1) The institutional Academic Programs, or "O&M," amounts are the products of the Commission's funding formulas for academic programs;
- (2) Facilities renewal needs are based on the Commission's formula for estimating facilities renewal allowances and backlogs;
- (3) Research and Public Service "line items" have been carried forward using an allocation scheme based on instructional formulas;
- (4) Necessary funds legally required to provide the employer's matching share of FICA and TRSA are included at levels expended the previous year.

Modified Funding Recommendations

Historic difficulties in proposing a Consolidated Budget Recommendation for higher education in Alabama are magnified by the necessity to complete the recommendation process before

firm projections are made of ETF revenues and problems in resolving the gap between institutional needs and available state resources. Once again, the Commission has struggled to provide a viable recommendation within the confines of expected ETF revenues.

Facilities Renewal costs are included as a sum of the educational activities generating the need. Each institution's recommendation includes an allocation based on the Facilities Renewal Formula applied to inventoried space. The Commission continues to endorse treatment of major capital needs for the institutions via processes ancillary to the regular appropriations bill.

The Commission also includes recommendations for other lines not directly associated with a public college or university (Pages A-6 - A-7). The explanation for the recommendation for these lines can be found in 5a&b of the First Priority listed above. The amount of new money being recommended for these state level lines is \$5,107,800.

This brings the total increase in new funds needed for the First Priority recommendations to \$143,415,457 or an increase of almost ten percent (9.85%). The total amount recommended for higher education from the Education Trust Fund is \$1,598,688,873.

Special Circumstances of this Consolidated Budget Recommendation

It is important to note that the Commission on Higher Education continues to seek a balance between legitimate institutional needs, as reflected in the construction of the ACHE standard, and the historic gap in actual appropriations. If Higher Education receives a fair share of revenues and if the political leadership uses the recommended approach for distribution among institutions, convergence of relative needs and appropriations will occur. That goal is termed Equity in funding.

The Commission believes that the distribution incorporated in this Consolidated Budget Recommendation is one of many required steps to improve higher education funding in Alabama.

Alabama Commission on Higher Education
Fiscal Year 2011-2012 Consolidated Budget Recommendation
Executive Summary

Alabama Public Higher Education Institutions

INSTITUTION	FIRST PRIORITY RECOMMENDATION										SECOND PRIORITY RECOMMENDATION	
	FY 2010-2011 Appropriation	FY 2011-2012 ACHE Standard	Estimated Funds for FY 2011-2012 Retirement Rate Increase (1)	Estimated Funds for FY 2011-2012 Rate Increase for University Retirees (2)	Recommended increases for Other Purposes (3)	Amount Needed to Restore FY 2011 SFSF Funds	FY 2011-2012 Increase	FY 2011-2012 Recommendation	% Increase Mandates	Amount Needed to Restore FY 2011 to FY 2008	Index based on Col 10	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)	(11)	
AAM	35,909,928	42,802,002	91,957	276,192		3,360,117	3,728,266	39,638,194	10.38%	12,325,422	3.30%	
ASU	43,568,347	42,307,447	94,781	187,992		3,259,875	3,542,648	47,110,995	8.13%	7,956,576	2.13%	
AU	219,241,909	439,430,973	696,960	2,526,274		23,778,824	27,002,058	246,243,967	12.32%	86,342,203	23.14%	
UA	141,677,521	245,333,672	604,051	1,185,616		14,699,372	16,489,039	158,166,560	11.64%	57,739,852	15.47%	
UAB	258,382,136	633,277,591	1,403,520	3,345,488		24,911,521	29,660,529	288,042,665	11.48%	95,523,237	25.60%	
UAH	44,024,506	77,811,788	126,473	260,318		4,184,044	4,570,835	48,595,341	10.38%	14,076,295	3.77%	
USA	99,947,234	238,639,068	648,224	894,656		10,768,819	12,311,699	112,258,933	12.32%	39,789,695	10.66%	
Doctoral O&M Total	842,751,581	1,719,602,541	3,665,966	8,676,536		84,962,572	97,305,074	940,056,655	11.55%	313,753,280	84.07%	
ATHENS	11,142,158	12,636,841	36,292		280,801	964,566	1,281,659	12,423,817	11.50%	4,006,435	1.07%	
AUM	23,532,791	19,769,908	76,800	171,751		2,104,584	2,353,135	25,885,926	10.00%	7,493,902	2.01%	
JSU	37,274,015	37,311,320	106,099		1,158,162	3,343,660	4,607,921	41,881,936	12.36%	12,032,085	3.22%	
TROY	45,092,510	47,845,005	153,423	401,184		4,599,282	5,153,889	50,246,399	11.43%	17,365,461	4.65%	
UM	17,792,894	17,670,589	48,791	134,064		1,615,160	1,798,015	19,590,909	10.11%	6,360,654	1.70%	
UNA	25,105,160	30,829,234	83,040	228,744		2,214,246	2,526,030	27,631,190	10.06%	8,801,366	2.36%	
UWA	13,937,010	26,199,970	36,084	82,656		1,203,971	1,322,711	15,259,721	9.49%	3,121,688	0.84%	
Nondoctoral O&M Total	173,876,538	192,262,867	540,529	1,018,399	1,438,963	16,045,469	19,043,360	192,919,898	10.95%	59,181,591	15.86%	
Senior Subtotal	1,016,628,119	1,911,865,408	4,206,495	9,694,935	1,438,963	101,008,041	116,348,434	1,132,976,553	11.44%	372,934,871	99.93%	
Other												
Alabama A&M University Ag Res & Ext-State Match (4)	1,226,904							1,226,904		274,681	0.07%	
Alabama State University Ph.D Microbiology	1,295,742	1,682,413			386,671		386,671	1,682,413	29.84%			
Total Senior	1,019,150,765	1,913,547,821	4,206,495	9,694,935	1,825,634	101,008,041	116,735,105	1,135,885,870	11.45%	373,209,552	100.00%	
Total Two-Year	289,927,851	287,758,104	618,374		5,418,674	17,735,504	23,772,552	313,700,403	8.20%	81,646,229	100.00%	
Total	1,309,078,616	2,201,305,925	4,824,869	9,694,935	7,244,308	118,743,545	140,507,657	1,449,586,273	10.73%	454,855,781		

Notes:

- 1) Based on calculations made by the AL Comm. on Higher Education using the increase in FY 2011 rate (12.51%) to the FY 2012 rate (12.75%). Rates subject to change due to legislative action.
- 2) Retirees from the September 2010 count have been increased 6% for FY 2011-2012. FY 2011-2012 rate based on an increase from FY 2011 rate of \$420 by 10% for a rate of \$462. This estimate has been calculated by the Alabama Commission on Higher Education and has not been verified by PEEHIP and is subject to legislative action. The increase has been calculated using the FY 2010-2011 estimated amount supplied by the institutions.
- 3) Funds for Athens State University and Jacksonville State University are to cover the cost of the PEEHIP rate increase. This was based on calculations using the increase in FY 2011 rate (\$752) to the projected FY 2012 rate (\$856).
- 4) Additional FY 10-11 allocation of \$2,225,000 for this line is included in the line for the Alabama Agricultural Land Grant Alliance (AALGA). The current total amount allocated for FY 2010-2011 is \$3,451,904.

Alabama Commission on Higher Education
Fiscal Year 2011-2012 Consolidated Budget Recommendation
Executive Summary
Alabama Public Higher Education Institutions

INSTITUTION	FIRST PRIORITY RECOMMENDATION								SECOND PRIORITY RECOMMENDATION	
	FY 2010-2011 Appropriation	FY 2011-2012 ACHE Standard	Estimated Funds for FY 2011-2012 Retirement Rate Increase (1)	Estimated Funds for FY 2011-2012 PEEHIP Rate Increase (2)	Amount Needed to Restore FY 2011 SFSF Funds (5)	FY 2011-2012 Increase (6)	FY 2011-2012 Recommendation (7)	% Increase Mandates (8)	Amount Needed to Restore FY 2011 to FY 2008 (9)	Index based on Col 9 (10)
	(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)	(10)
Alabama Southern	6,777,030	5,778,703	15,377	138,625	429,484	583,486	7,360,516	8.61%	2,036,294	2.49%
Bevill State	16,630,915	15,941,630	35,196	275,313	1,053,943	1,364,452	17,995,367	8.20%	4,994,874	6.12%
Bishop	14,920,404	11,931,566	27,414	233,380	945,690	1,206,484	16,126,888	8.09%	4,480,673	5.49%
Calhoun	20,789,110	21,422,132	44,088	376,761	1,250,371	1,671,220	22,460,330	8.04%	5,786,630	7.09%
Central Alabama	8,251,117	8,058,033	18,208	142,930	522,931	684,069	8,935,186	8.29%	2,475,886	3.03%
Chattahoochee	5,350,938	5,863,932	11,428	103,392	337,123	451,943	5,802,881	8.45%	1,566,834	1.92%
Drake	3,793,187	3,307,415	8,725	80,809	237,357	326,891	4,120,078	8.62%	1,081,996	1.33%
Enterprise State	8,635,684	9,128,361	23,294	194,691	544,276	762,261	9,397,945	8.83%	2,532,086	3.10%
Faulkner	9,809,209	10,966,046	19,042	193,443	617,453	829,938	10,639,147	8.46%	2,868,549	3.51%
Gadsden	23,826,074	22,045,202	58,754	450,493	1,453,956	1,963,203	25,789,277	8.24%	6,740,190	8.26%
Ingram	6,299,758	4,158,613	11,542	79,873	204,336	295,751	6,595,509	4.69%	1,633,246	2.00%
Jeff Davis	6,002,011	5,238,689	11,626	85,988	318,232	415,846	6,417,857	6.93%	1,710,727	2.10%
Jefferson State	20,568,482	22,159,949	45,309	384,956	1,253,814	1,684,079	22,252,561	8.19%	5,135,454	6.29%
Lawson	15,083,172	14,579,008	30,174	289,290	454,385	773,849	15,857,021	5.13%	4,522,970	5.54%
L. B. Wallace	7,171,955	6,887,187	16,415	148,477	924,847	1,089,739	8,261,694	15.19%	2,151,249	2.63%
Marion	6,056,899	3,892,753	6,111	73,270	379,311	458,692	6,515,591	7.57%	1,346,980	1.65%
Northeast	6,206,366	9,112,316	16,868	128,546	390,446	535,860	6,742,226	8.63%	1,803,463	2.21%
Northwest Shoals	11,578,527	13,889,337	31,561	259,546	714,607	1,005,714	12,584,241	8.69%	3,070,055	3.76%
Reid	4,269,935	2,690,104	9,007	68,615	270,564	348,186	4,618,121	8.15%	1,282,305	1.57%
Shelton	19,118,239	19,009,495	38,480	418,398	1,132,448	1,589,326	20,707,565	8.31%	4,162,587	5.10%
Snead	5,808,015	7,392,111	10,452	112,946	366,112	489,510	6,297,525	8.43%	1,713,772	2.10%
Southern Union	13,858,661	16,546,653	25,587	229,636	873,180	1,128,403	14,987,064	8.14%	4,072,493	4.99%
Trenholm	9,886,957	6,494,625	20,447	171,203	626,775	818,425	10,705,382	8.28%	2,979,651	3.65%
Wallace - Dothan	15,443,040	14,716,052	31,834	279,273	937,482	1,248,589	16,691,629	8.09%	4,574,169	5.60%
Wallace - Hanceville	15,544,644	19,571,506	35,544	347,511	973,366	1,356,421	16,901,065	8.73%	4,425,429	5.42%
Wallace - Selma	8,247,522	6,976,686	15,891	151,309	523,015	690,215	8,937,737	8.37%	2,497,667	3.06%
Two Year Total	289,927,851	287,758,104	618,374	5,418,674	17,735,504	23,772,552	313,700,403	8.20%	81,646,229	100.00%

1) Supplied by the Alabama Department of Postsecondary Education. Based on calculations using the increase in FY 2011 rate (12.51%) to the projected FY 2012 rate (12.75%).

2) Supplied by the Alabama Department of Postsecondary Education. Based on calculations using the increase in FY 2011 rate (\$752) to the projected FY 2012 rate (\$856).

Alabama Commission on Higher Education
Fiscal Year 2011-2012 Consolidated Budget Recommendation
Executive Summary
State-Wide Lines

	2010-2011 <u>Appropriation</u> (1)	2011-2012 <u>Request</u> (2)	2011-2012 ACHE Recommended <u>Budget</u> (3)	Difference Recommendation & FY 2011 (4)	% Change Recommendation & FY 2011 (5)
Total Public institutions	1,309,078,616	1,668,788,180	1,449,586,272	140,507,657	10.73%
<u>STATE LEVEL HIGHER EDUCATION PROGRAMS</u>					
<u>Other Two-Year</u>					
Postsecondary Education Department	6,424,164	6,574,164	6,574,164	150,000	2.33%
Adult Basic Education	16,028,720	16,028,720	16,028,720		
Alabama Fire College	3,846,458	3,846,458	3,846,458		
Alabama Ind. Devel. Trng. Inst.	34,315,596	37,318,785	37,318,785	3,003,189	8.75%
Special Population Training	5,505,865	5,505,865	5,505,865		
Mine Safety Training Program	245,122	245,122	245,122		
Prison Ed-Therapeutic Training	2,061,751	2,253,469	2,092,677	30,926	1.50%
AL Technology Network	4,900,124	4,965,762	4,965,762	65,638	1.34%
English as a Second Language Program	100,000			(100,000)	-100.00%
Central-Truck Driver Training	255,914	255,914	255,914		
Workforce Development	6,673,269	7,749,890	6,773,368	100,099	1.50%
Subtotal: <u>Other Two-Year</u>	80,356,983	84,744,149	83,606,835	3,249,852	4.04%
<u>Other Higher Education</u>					
MESC/Dauphin Island Sea Lab	3,576,766	7,505,682	3,952,350	375,584	10.50%
Private Colleges & Schools					
Talladega College	855,861	1,000,000	868,699	12,838	1.50%
A&M/Miles Consortium	370,339	400,367	375,894	5,555	1.50%
State-Related Institution					
Tuskegee University	9,703,990	10,490,800	10,490,800	786,810	8.11%
Total: <u>Private & State-Related Institutions</u>	10,930,190	11,891,167	11,735,393	805,203	7.37%
Subtotal: <u>Other Higher Education</u>	14,506,956	19,396,849	15,687,743	1,180,787	8.14%
<u>Alabama Commission on Higher Education</u>					
Planning & Coordination Services	3,424,916	3,504,138	3,504,138	79,222	2.31%
Articulation Program	402,563	435,215	435,215	32,652	8.11%
School & Univ. Partnership for Ed Renewal Pgm	81,707	88,332	88,332	6,625	8.11%
SREB	701,691	758,579	758,579	56,888	8.11%
Network of AL Academic Libraries	425,372	459,874	459,874	34,502	8.11%
EPSCOR	1,233,146	1,333,131	1,333,131	99,985	8.11%
PK-20 Initiative		50,000	50,000	50,000	
AL National Guard Schol. Prog.	831,180	898,624	898,624	67,444	8.11%
Washington Center Internship Program	56,525	61,112	61,112	4,587	8.11%
AL Student Assistance Program	2,896,855	3,131,735	3,131,735	234,880	8.11%
AL Educational Grant Program (ASGP)	2,320,975	2,509,163	2,509,163	188,188	8.11%
Chiropractic Scholarships	31,692	34,262	34,262	2,570	8.11%
AL Teacher Recruitment Incent. Pgm	1,084,982			(1,084,982)	-100.00%
Police and Fire Fighters' Survivors Tuition	229,491	229,491	229,491		
Alabama Agricultural Land Grant Alliance	6,181,869	6,683,000	6,683,000	501,131	8.11%
Subtotal: <u>ACHE</u>	19,902,964	20,176,656	20,176,656	273,692	1.38%

Alabama Commission on Higher Education
Fiscal Year 2011-2012 Consolidated Budget Recommendation
Executive Summary
State-Wide Lines

	2010-2011 <u>Appropriation</u> (1)	2011-2012 <u>Request</u> (2)	2011-2012 ACHE Recommended <u>Budget</u> (3)	Difference Recommendation & FY 2011 (4)	% Change Recommendation & FY 2011 (5)
Dept. of Veterans' Affairs	19,945,533	33,015,476	20,244,716	299,183	1.50%
American Legion Scholarships	112,500	112,500	112,500		
Dependents Blind Parents	10,635	10,635	10,635		
Medical Scholarships	1,062,926	1,062,926	1,062,926		
Optometric Scholarships	153,610	188,000	155,914	2,304	1.50%
Dental Scholarships	273,720	408,000	277,826	4,106	1.50%
Nursing Scholarships	237,725	275,000	241,291	3,566	1.50%
Teacher In-Service Centers	2,775,000	3,103,949	2,816,625	41,625	1.50%
Telephone Revolving Fund	1,143,902	1,143,902	1,143,902		
Military Operations Program					
UAB-Special Mental Health	3,512,347	4,132,177	3,565,032	52,685	1.50%
Total: Other <u>Statewide Pgms.</u>	29,227,898	43,452,565	29,631,367	403,469	1.38%
TOTAL: <u>ALL STATE LEVEL PGMS.</u>	143,994,801	167,770,219	149,102,601	5,107,800	3.55%
Knight vs. AL Financial Obligations (excluding Court-Ordered Pgms)	2,200,000			(2,200,000)	
TOTAL HIGHER EDUCATION	1,455,273,417	1,836,558,399	1,598,688,873	143,415,457	9.85%

Funds that were reverted in FY 2009-2010 and reappropriated in FY 2010-2011 are shown where the information was available.

Alabama Commission on Higher Education
FY 2011-2012 ACHE Funding Standard
Public Institutions of Higher Education

Distributed by Major Category

INSTITUTION	AP (1)	1stProfHlth (2)	AES/CES (3)	Research (4)	Public Service (5)	Facilities Renewal Allowance (6)	2011-2012 ACHE Standard (7)
Alabama A&M University	34,343,967			2,552,734	2,891,910	3,013,391	42,802,002
Alabama State University	35,365,118			2,145,118	2,227,772	2,569,437	42,307,445
Auburn University	203,712,765	76,281,941	97,928,535	23,874,106	24,312,380	13,321,246	439,430,973
University of Alabama	190,838,677	15,783,887		13,144,155	13,144,155	12,422,799	245,333,673
University of Alabama at Birmingham	226,829,288	297,744,006		41,965,863	41,965,863	24,772,571	633,277,591
University of Alabama in Huntsville	64,393,254			5,377,764	4,432,139	3,608,631	77,811,788
University of South Alabama	123,250,236	79,065,207		12,623,663	12,631,963	11,068,000	238,639,069
Doctoral O&M Subtotal	878,733,305	468,875,041	97,928,535	101,683,403	101,606,182	70,776,075	1,719,602,541
Athens State University	11,050,874			321,046	642,092	622,829	12,636,841
Auburn University Montgomery	16,344,219			455,828	1,214,840	1,755,021	19,769,908
Jacksonville State University	31,863,245			929,067	1,858,134	2,660,874	37,311,320
Troy University	40,858,185			1,183,042	3,189,088	2,614,690	47,845,005
University of Montevallo	14,594,649			434,032	868,064	1,773,844	17,670,589
University of North Alabama	25,601,348			770,951	1,602,208	2,854,727	30,829,234
University of West Alabama	22,311,819			741,253	1,534,606	1,612,292	26,199,970
Nondoctoral O&M Subtotal	162,624,339			4,835,219	10,909,032	13,894,277	192,262,867
Senior Subtotal	1,041,357,644	468,875,041	97,928,535	106,518,622	112,515,214	84,670,352	1,911,865,408
Alabama State University Ph.D Microbiology	1,676,562					5,851	1,682,413
Subtotal Other	1,676,562					5,851	1,682,413
Total Senior Institutions	1,043,034,206	468,875,041	97,928,535	106,518,622	112,515,214	84,676,203	1,913,547,821
Alabama Southern Community College	5,225,391					553,312	5,778,703
Bevill State Community College	14,384,189					1,557,441	15,941,630
Bishop State Community College	10,432,087				139	1,499,340	11,931,566
Calhoun Community College	20,078,457					1,343,675	21,422,132
Central Alabama Community College	7,220,959					837,074	8,058,033
Chattahoochee Valley Community College	5,615,482					248,450	5,863,932
Drake State Technical College	2,957,142					350,273	3,307,415
Enterprise-Ozark Community College	8,282,248					846,113	9,128,361
Faulkner State Community College	10,389,845					576,201	10,966,046
Gadsden State Community College	20,017,849				5,261	2,022,092	22,045,202
Ingram State Technical College	3,717,296					441,317	4,158,613
Jeff Davis Community College	4,573,496					665,193	5,238,689
Jefferson State Community College	20,286,334					1,873,615	22,159,949
Lawson State Community College	13,057,542					1,521,466	14,579,008
L. B. Wallace Community College	6,141,808					745,379	6,887,187
Marion Military Institute	3,381,713					511,040	3,892,753
Northeast Alabama Community College	8,411,088					701,228	9,112,316
Northwest-Shoals Community College	12,882,783					1,006,554	13,889,337
Reid State Technical College	2,489,498					200,606	2,690,104
Shelton State Community College	17,864,657					1,144,838	19,009,495
Snead State Community College	6,788,362					603,749	7,392,111
Southern Union State Community College	15,531,810					1,014,843	16,546,653
Trenholm State Technical College	5,868,662					625,963	6,494,625
Wallace - Dothan Community College	13,861,343					854,709	14,716,052
Wallace - Hanceville Community College	18,021,174					1,550,332	19,571,506
Wallace - Selma Community College	6,441,126					535,560	6,976,686
Two Year Institutions	263,922,341				5,400	23,830,363	287,758,104
Total All Institutions	1,306,956,547	468,875,041	97,928,535	106,518,622	112,520,614	108,506,566	2,201,305,925

Categories have been adjusted to include Fringe Benefits.

Alabama Commission on Higher Education
 FY 2011-2012 Consolidated Budget Recommendation
 Public Institutions of Higher Education

Distributed by Major Category

INSTITUTION	AP	1stProfHlth	AES/CES	Research	Public	Facilities	2011-2012 Recommendation
	(1)	(2)	(3)	(4)	Service	Renewal Allowance	
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Alabama A&M University	31,805,354			2,364,043	2,678,148	2,790,649	39,638,194
Alabama State University	39,380,440			2,388,673	2,480,712	2,861,170	47,110,995
Auburn University	114,154,537	42,746,117	54,876,220	13,378,335	13,623,930	7,464,828	246,243,967
University of Alabama	123,033,650	10,175,868		8,474,034	8,474,034	8,008,975	158,166,560
University of Alabama at Birmingham	103,171,995	135,427,146		19,087,931	19,087,931	11,267,661	288,042,665
University of Alabama in Huntsville	40,215,142			3,358,544	2,767,978	2,253,677	48,595,341
University of South Alabama	57,978,520	37,193,305		5,938,336	5,942,240	5,206,532	112,258,933
Doctoral O&M Subtotal	509,739,639	225,542,437	54,876,220	54,989,894	55,054,973	39,853,492	940,056,655
Athens State University	10,864,585			315,634	631,268	612,330	12,423,817
Auburn University Montgomery	21,400,466			596,843	1,590,663	2,297,954	25,885,926
Jacksonville State University	35,766,475			1,042,877	2,085,754	2,986,830	41,881,936
Troy University	42,908,903			1,242,420	3,349,152	2,745,924	50,246,399
University of Montevallo	16,180,697			481,200	962,399	1,966,613	19,590,909
University of North Alabama	22,945,614			690,977	1,436,004	2,558,594	27,631,190
University of West Alabama	12,995,134			431,730	893,805	939,052	15,259,721
Nondoctoral O&M Subtotal	163,061,874			4,801,681	10,949,046	14,107,297	192,919,898
Senior Subtotal	672,801,513	225,542,437	54,876,220	59,791,575	66,004,019	53,960,789	1,132,976,553
Other							
Alabama A&M University Ag Resh & Ext - State Match	1,226,904						1,226,904
Alabama State University Ph.D Microbiology	1,682,413						1,682,413
Subtotal Other	2,909,317						2,909,317
Total Senior Institutions	675,710,830	225,542,437	54,876,220	59,791,575	66,004,019	53,960,789	1,135,885,870
Alabama Southern Community College	6,655,745					704,771	7,360,516
Bevill State Community College	16,237,283					1,758,084	17,995,367
Bishop State Community College	14,100,169				188	2,026,531	16,126,888
Calhoun Community College	21,051,535					1,408,795	22,460,330
Central Alabama Community College	8,006,993					928,193	8,935,186
Chattahoochee Valley Community College	5,557,018					245,863	5,802,881
Drake State Technical College	3,683,740					436,338	4,120,078
Enterprise-Ozark Community College	8,526,844					871,101	9,397,945
Faulkner State Community College	10,080,123					559,024	10,639,147
Gadsden State Community College	23,417,606				6,155	2,365,517	25,789,277
Ingram State Technical College	5,895,586					699,923	6,595,509
Jeff Davis Community College	5,602,937					814,920	6,417,857
Jefferson State Community College	20,371,116					1,881,445	22,252,561
Lawson State Community College	14,202,181					1,654,840	15,857,021
L. B. Wallace Community College	7,367,556					894,138	8,261,694
Marion Military Institute	5,660,225					855,366	6,515,591
Northeast Alabama Community College	6,223,386					518,840	6,742,226
Northwest-Shoals Community College	11,672,267					911,974	12,584,241
Reid State Technical College	4,273,739					344,382	4,618,121
Shelton State Community College	19,460,462					1,247,103	20,707,565
Snead State Community College	5,783,176					514,349	6,297,525
Southern Union State Community College	14,067,874					919,190	14,987,064
Trenholm State Technical College	9,673,579					1,031,803	10,705,382
Wallace - Dothan Community College	15,722,178					969,451	16,691,629
Wallace - Hanceville Community College	15,562,269					1,338,796	16,901,065
Wallace - Selma Community College	8,251,638					686,099	8,937,737
Two Year Institutions	287,107,224				6,342	26,586,836	313,700,403
Total All Institutions	962,818,055	225,542,437	54,876,220	59,791,575	66,010,361	80,547,625	1,449,586,273

Categories have been adjusted to include Fringe Benefits.

Alabama Commission on Higher Education
 FY 2011-2012 Consolidated Budget Recommendation
 Public Institutions of Higher Education

Indices by Major Category

INSTITUTION	AP	1stProfHlth	AES/CES	Research	Public Service	Facilities Renewal Allowance	2011-2012 Recommendation
	(1)	(2)	(3)	(4)	(5)	(6)	(7)
Alabama A&M University	0.0219410			0.0016308	0.0018475	0.0019251	0.0273445
Alabama State University	0.0271667			0.0016478	0.0017113	0.0019738	0.0324996
Auburn University	0.0787497	0.0294885	0.0378565	0.0092291	0.0093985	0.0051496	0.1698719
University of Alabama	0.0848750	0.0070198		0.0058458	0.0058458	0.0055250	0.1091115
University of Alabama at Birmingham	0.0711734	0.0934247		0.0131678	0.0131678	0.0077730	0.1987068
University of Alabama in Huntsville	0.0277425			0.0023169	0.0019095	0.0015547	0.0335236
University of South Alabama	0.0399966	0.0256579		0.0040966	0.0040993	0.0035917	0.0774421
Doctoral O&M Subtotal	0.3516449	0.1555909	0.0378565	0.0379349	0.0379798	0.0274930	0.6485000
Athens State University	0.0074950			0.0002177	0.0004355	0.0004224	0.0085706
Auburn University Montgomery	0.0147632			0.0004117	0.0010973	0.0015852	0.0178575
Jacksonville State University	0.0246736			0.0007194	0.0014389	0.0020605	0.0288923
Troy University	0.0296008			0.0008571	0.0023104	0.0018943	0.0346626
University of Montevallo	0.0111623			0.0003320	0.0006639	0.0013567	0.0135148
University of North Alabama	0.0158291			0.0004767	0.0009906	0.0017651	0.0190614
University of West Alabama	0.0089647			0.0002978	0.0006166	0.0006478	0.0105269
Nondoctoral O&M Subtotal	0.1124886			0.0033124	0.0075532	0.0097319	0.1330862
Senior Subtotal	0.4641335	0.1555909	0.0378565	0.0412473	0.0455330	0.0372250	0.7815861
Other							
Alabama A&M University Ag Resh & Ext - State Match	0.0008464						0.0008464
Alabama State University Ph.D Microbiology	0.0011606						0.0011606
Subtotal Other	0.0020070						0.0020070
Total Senior Institutions	0.4661405	0.1555909	0.0378565	0.0412473	0.0455330	0.0372250	0.7835931
Alabama Southern Community College	0.0045915					0.0004862	0.0050777
Bevill State Community College	0.0112013					0.0012128	0.0124141
Bishop State Community College	0.0097270				0.0000001	0.0013980	0.0111252
Calhoun Community College	0.0145224					0.0009719	0.0154943
Central Alabama Community College	0.0055236					0.0006403	0.0061640
Chattahoochee Valley Community College	0.0038335					0.0001696	0.0040031
Drake State Technical College	0.0025412					0.0003010	0.0028422
Enterprise-Ozark Community College	0.0058823					0.0006009	0.0064832
Faulkner State Community College	0.0069538					0.0003856	0.0073394
Gadsden State Community College	0.0161547				0.0000042	0.0016319	0.0177908
Ingram State Technical College	0.0040671					0.0004828	0.0045499
Jeff Davis Community College	0.0038652					0.0005622	0.0044274
Jefferson State Community College	0.0140531					0.0012979	0.0153510
Lawson State Community College	0.0097974					0.0011416	0.0109390
L. B. Wallace Community College	0.0050825					0.0006168	0.0056993
Marion Military Institute	0.0039047					0.0005901	0.0044948
Northeast Alabama Community College	0.0042932					0.0003579	0.0046511
Northwest-Shoals Community College	0.0080521					0.0006291	0.0086813
Reid State Technical College	0.0029482					0.0002376	0.0031858
Shelton State Community College	0.0134248					0.0008603	0.0142852
Snead State Community College	0.0039895					0.0003548	0.0043444
Southern Union State Community College	0.0097048					0.0006341	0.0103389
Trenholm State Technical College	0.0066733					0.0007118	0.0073851
Wallace - Dothan Community College	0.0108460					0.0006688	0.0115148
Wallace - Hanceville Community College	0.0107357					0.0009236	0.0116592
Wallace - Selma Community College	0.0056924					0.0004733	0.0061657
Two Year Institutions	0.1980615				0.0000044	0.0183410	0.2164069
Total All Institutions	0.6642020	0.1555909	0.0378565	0.0412473	0.0455374	0.0555659	1.0000000

Categories have been adjusted to include Fringe Benefits.

SECTION B

HIGHER EDUCATION CONSOLIDATED BUDGET RECOMMENDATION PROGRAM DETAIL:

FY 2009-2010 AND 2010-2011 APPROPRIATIONS 2011-2012 REQUESTS, ACHE STANDARD, AND RECOMMENDATION

This section presents the FY 2009-2010 and 2010-2011 Higher Education Appropriations and the 2011-2012 Alabama Commission on Higher Education (ACHE) Standard and Recommendation by Major Categories of the Consolidated Budget Recommendation process for each institution and statewide activity under the coordination of the Alabama Commission on Higher Education.

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
<u>Alabama A&M University</u>					
Academic Program	26,692,819	27,275,433	35,000,000	34,343,967	31,805,354
Research	1,944,737	1,965,152		2,552,734	2,364,043
Public Service	2,222,004	2,300,954		2,891,910	2,678,148
Ag Research Station Fixed Costs	263,545	301,219	301,219		
Extension - Urban Affairs & NonTraditional Land Grt Program	3,558,479	4,067,170	4,100,000		
Agri Research & Coop. Ext Matching*	1,200,376	1,226,904	1,349,594		1,226,904
Facilities Renewal Allowance				3,013,391	2,790,649
Total: <u>Alabama A&M University</u>	35,881,960	37,136,832	40,750,813	42,802,002	40,865,098

Knight vs. Ala. Remedy Title VI Trust for Excellence in Education

Ordered by U.S. District Court for the Northern District of Alabama Southern Division due to Civil Action No. CV 83-M1676-S. Additional \$1,000,000 possible based on state matching to endowment funds. FY 2009-2010 appropriation for this line included in the Knight vs. Alabama financial obligations line.

* Additional funds to match the State direct portion of the Federal allocation are included in the line for the Alabama Agricultural Land Grant Alliance. The total amount allocated for FY 2009-2010 was \$2,979,806 and FY 2010-2011 was \$3,451,904.

NOTE: A&M/Miles Consortium - see state level private institutions.

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
<u>Alabama State University</u>					
Academic Program	34,576,392	38,631,791	57,642,753	35,365,118	39,380,440
Research	2,269,052	2,427,119		2,145,118	2,388,673
Public Service	2,332,276	2,509,437		2,227,772	2,480,712
Facilities Renewal Allowance				2,569,437	2,861,170
Total: <u>Alabama State University</u>	39,177,720	43,568,347	57,642,753	42,307,445	47,110,995
<u>Court-Ordered Programs</u>					
Ed.D. Educational Leadership	2,213,496				
Ph.D. Microbiology	1,235,432	1,295,742	1,682,413	1,682,413	1,682,413

Knight vs. Ala. Remedy Title VI Trust for Excellence in Education

Ordered by U.S. District Court for the Northern District of Alabama Southern Division due to Civil Action No. CV 83-M1676-S. Additional \$1,000,000 possible based on state matching to endowment funds. FY 2009-2010 appropriation for this line included in the Knight vs. Alabama financial obligations line.

Amounts shown for the FY 2009-2010 payments for the Ed.D. in Education Leadership and the Ph.D in Microbiology reflect a 7.5% reduction instead of 9.5%. Final payment for these programs had been made when the 7.5% proration amount was raised to 9.5% proration and the additional 2% was recovered from other Knight v. Alabama funds.

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>Athens State University</u>					
Academic Program	9,928,431	10,216,593	14,091,186	11,050,874	10,864,585
Research	324,272	308,522		321,046	315,634
Public Service	648,543	617,043		642,092	631,268
Facilities Renewal Allowance				622,829	612,330
Total: <u>Athens State University</u>	10,901,246	11,142,158	14,091,186	12,636,841	12,423,817
<u>Auburn University System</u>					
<u>Auburn University</u>					
Academic Program	109,830,581	111,606,922	195,285,027	203,712,765	114,154,537
First Professional Health	17,360,831	17,761,866		76,281,941	42,746,117
Research	12,595,680	13,157,606		23,874,106	13,378,335
Public Service	12,914,313	13,701,627		24,312,380	13,623,930
AAES/ACES	60,428,453	63,013,888	78,767,360	97,928,535	54,876,220
Facilities Renewal Allowance				13,321,246	7,464,828
Subtotal: <u>Auburn University</u>	213,129,858	219,241,909	274,052,387	439,430,973	246,243,967

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>Auburn University at Montgomery</u>					
Academic Program	20,616,381	21,305,852	29,415,989	16,344,219	21,400,466
Research	664,875	633,412		455,828	596,843
Public Service	1,561,718	1,593,527		1,214,840	1,590,663
Facilities Renewal Allowance				1,755,021	2,297,954
Subtotal: <u>Auburn Univ. at Montgomery</u>	22,842,974	23,532,791	29,415,989	19,769,908	25,885,926
Total: <u>Auburn University System</u>	235,972,832	242,774,700	303,468,376	459,200,881	272,129,893
<u>Jacksonville State University</u>					
Academic Program	32,806,121	34,129,102	46,766,755	31,863,245	35,766,475
Research	1,073,899	1,048,304		929,067	1,042,877
Public Service	2,147,799	2,096,609		1,858,134	2,085,754
Facilities Renewal Allowance				2,660,874	2,986,830
Total: <u>Jacksonville State University</u>	36,027,819	37,274,015	46,766,755	37,311,320	41,881,936

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>Troy University</u>					
Academic Program	39,892,433	40,393,066	63,250,000	40,858,185	42,908,903
Research	1,354,856	1,286,367		1,183,042	1,242,420
Public Service	2,864,379	3,413,077		3,189,088	3,349,152
Facilities Renewal Allowance				2,614,690	2,745,924
Total: <u>Troy University</u>	44,111,668	45,092,510	63,250,000	47,845,005	50,246,399
<u>University of Alabama System</u>					
<u>University of Alabama</u>					
Academic Program	114,061,610	116,760,796	199,417,373	190,838,677	123,033,650
First Professional Health	7,853,227	8,685,263		15,783,887	10,175,868
Research	8,313,004	8,115,731		13,144,155	8,474,034
Public Service	8,313,004	8,115,731		13,144,155	8,474,034
Facilities Renewal Allowance				12,422,799	8,008,975
Subtotal: <u>University of Alabama</u>	138,540,845	141,677,521	199,417,373	245,333,673	158,166,561

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>University of Alabama at Birmingham</u>					
Academic Program	95,886,506	94,532,873	354,809,559	226,829,288	103,171,995
First Professional Health*	122,274,512	128,576,164		297,744,006	135,427,146
Research	17,244,472	17,636,549		41,965,863	19,087,931
Public Service	17,244,472	17,636,549		41,965,863	19,087,931
Facilities Renewal Allowance				24,772,571	11,267,661
Subtotal: <u>Univ. of AL at Birmingham</u>	252,649,962	258,382,135	354,809,559	633,277,591	288,042,664
*FY 2010 amount includes \$4,087,531 and the FY 2011 amount includes \$4,177,863 for the Birmingham Cancer Center. Request for FY 2012 was \$5,000,000					
<u>University of Alabama in Huntsville</u>					
Academic Program	37,352,121	38,260,105	58,100,801	64,393,254	40,215,142
Research	3,082,919	3,138,296		5,377,764	3,358,544
Public Service	2,637,585	2,626,105		4,432,139	2,767,978
Facilities Renewal Allowance				3,608,631	2,253,677
Subtotal: <u>Univ. of AL in Huntsville</u>	43,072,625	44,024,506	58,100,801	77,811,788	48,595,341
Total: <u>University of Alabama System</u>	434,263,432	444,084,162	612,327,733	956,423,052	494,804,566

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>University of Montevallo</u>					
Academic Program	15,797,846	16,252,696	21,285,564	14,594,649	16,180,697
Research	536,779	513,399		434,032	481,200
Public Service	1,073,558	1,026,799		868,064	962,399
Facilities Renewal Allowance				1,773,844	1,966,613
Total: <u>University of Montevallo</u>	17,408,183	17,792,894	21,285,564	17,670,589	19,590,909
<u>University of North Alabama</u>					
Academic Program	22,286,691	22,879,713	26,109,366	25,601,348	22,945,614
Research	748,725	724,931		770,951	690,977
Public Service	1,526,930	1,500,516		1,602,208	1,436,004
Facilities Renewal Allowance				2,854,727	2,558,594
Total: <u>University of North Alabama</u>	24,562,346	25,105,160	26,109,366	30,829,234	27,631,189

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
<u>University of South Alabama</u>					
Academic Program	53,611,167	53,681,786	119,936,681	123,250,236	57,978,520
First Professional Health	32,909,455	35,112,075		79,065,207	37,193,305
Research	5,668,845	5,574,911		12,623,663	5,938,336
Public Service	5,670,124	5,578,462		12,631,963	5,942,240
Facilities Renewal Allowance				11,068,000	5,206,532
Total: <u>University of South Alabama</u>	97,859,591	99,947,234	119,936,681	238,639,069	112,258,933
<u>University of West Alabama</u>					
Academic Program	11,546,855	12,662,473	17,859,120	22,311,819	12,995,134
Research	396,561	424,846		741,253	431,730
Public Service	793,123	849,692		1,534,606	893,805
Facilities Renewal Allowance				1,612,292	939,052
Total: <u>University of West Alabama</u>	12,736,539	13,937,011	17,859,120	26,199,970	15,259,721
GRAND TOTAL: <u>SENIOR INSTITUTIONS*</u>	992,352,264	1,019,150,765	1,325,170,760	1,913,547,821	1,135,885,869

* Grand Total includes Court Ordered Programs for Alabama State University.

ALABAMA COMMISSION ON HIGHER EDUCATION
 2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
Total Community & Technical Colleges Academic Program (includes Marion)	276,247,019	283,387,242	335,612,490	279,753,174	305,695,473
Prison Education Project	6,399,190	6,540,609	8,004,930	8,004,930	8,004,930
Total: <u>Two Year Institutions</u>	282,646,209	289,927,851	343,617,420	287,758,104	313,700,403

See page B-14 for the ACHE 2011-2012 Recommendation for the Community and Technical colleges by institution.

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
<u>STATE LEVEL HIGHER EDUCATION PROGRAMS</u>					
<u>Other Two-Year</u>					
Postsecondary Education Department	5,757,737	5,734,980	6,574,164		6,574,164
Reversion/Reallocation	(689,184)	689,184			
Total Postsecondary Education Department	5,068,553	6,424,164	6,574,164		6,574,164
Adult Basic Education	15,002,008	13,220,516	16,028,720		16,028,720
Reversion/Reallocation	(2,808,204)	2,808,204			
Total Adult Basic Education	12,193,804	16,028,720	16,028,720		16,028,720
Alabama Fire College	3,603,408	3,846,458	3,846,458		3,846,458
Alabama Ind. Devel. Trng. Inst.	33,573,637	34,315,596	37,318,785		37,318,785
Special Population Training	5,146,386	5,260,118	5,505,865		5,505,865
Reversion/Reallocation	(245,747)	245,747			
Total Special Population Training	4,900,639	5,505,865	5,505,865		5,505,865
Mine Safety Training Program	239,822	245,122	245,122		245,122
Prison Ed-Therapeutic Training	1,821,497	2,061,751	2,253,469		2,092,677
AL Technology Network	4,755,040	4,900,124	4,965,762		4,965,762
English as a Second Language Program		100,000			
Central-Truck Driver Training	250,381	255,914	255,914		255,914
Workforce Development	6,227,418	5,636,146	7,749,890		6,773,368
Reversion/Reallocation	(1,037,123)	1,037,123			
Total Workforce Development	5,190,295	6,673,269	7,749,890		6,773,368
Subtotal: <u>Other Two-Year</u>	76,377,334	75,576,725	84,744,149		83,606,835
Reversion/Reallocation	(4,780,258)	4,780,258			
Total: <u>Other Two-Year</u>	71,597,076	80,356,983	84,744,149		83,606,835

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
<u>Other Higher Education</u>					
MESC/Dauphin Island Sea Lab	3,499,430	3,576,766	7,505,682		3,952,350
Private Colleges & Schools					
Talladega College	837,356	855,861	1,000,000		868,699
A&M/Miles Consortium	362,332	370,339	400,367		375,894
State-Related Institution					
Tuskegee University	9,494,174	9,703,990	10,490,800		10,490,800
Total: <u>Private & State-Related Institutions</u>	10,693,862	10,930,190	11,891,167		11,735,393
Subtotal: <u>Other Higher Education</u>	14,193,292	14,506,956	19,396,849		15,687,743
<u>Alabama Commission on Higher Education</u>					
Planning & Coordination Services	2,984,914	2,964,783	3,504,138		3,504,138
Reversion/Reallocation	(460,133)	460,133			
Total Planning & Coordination Services	2,524,781	3,424,916	3,504,138		3,504,138
Articulation Program	362,837	402,563	435,215		435,215
School & University Partnership for Education Renewal Pgm	79,940	81,707	88,332		88,332
SREB	685,051	671,124	758,579		758,579
Reversion/Reallocation	(30,567)	30,567			
Total SREB	654,484	701,691	758,579		758,579
Network of AL Academic Libraries	360,991	323,505	459,874		459,874
Reversion/Reallocation	(101,867)	101,867			
Total Network of AL Academic Libraries	259,124	425,372	459,874		459,874
EPSCOR	1,206,427	1,227,543	1,333,131		1,333,131
Reversion/Reallocation	(5,603)	5,603			
Total EPSCOR	1,200,824	1,233,146	1,333,131		1,333,131
PK-20 Initiative			50,000		50,000

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 <u>ACHE Standard</u> (4)	2011-2012 ACHE <u>Recommended Budget</u> (5)
AL National Guard Schol. Prog.	812,497	601,694	898,624		898,624
Reversion/Reallocation	(229,486)	229,486			
Total AL National Guard Schol. Prog.	583,011	831,180	898,624		898,624
Washington Center Internship Program	54,300	55,500	61,112		61,112
Reversion/Reallocation	(1,025)	1,025			
Total Washington Center Internship Program	53,275	56,525	61,112		61,112
AL Student Assistance Program	2,852,181	2,896,855	3,131,735		3,131,735
AL Educational Grant Program (ASGP)	2,288,830	2,320,975	2,509,163		2,509,163
Chiropractic Scholarships	30,896	29,415	34,262		34,262
Reversion/Reallocation	(2,277)	2,277			
Total Chiropractic Scholarships	28,619	31,692	34,262		34,262
AL Teacher Recruitment Incent. Pgm	1,380,758				
Reversion/Reallocation	(1,084,982)	1,084,982			
Total AL Teacher Recruitment Incent. Pgm	295,776	1,084,982			
Police and Fire Fighters' Survivors Tuition	233,873	159,320	229,491		229,491
Reversion/Reallocation	(70,171)	70,171			
Total Police and Fire Fighters' Survivors Tuition	163,702	229,491	229,491		229,491
Alabama Agricultural Land Grant Alliance	5,444,001	6,181,869	6,683,000		6,683,000
Subtotal: <u>ACHE</u>	18,777,496	17,916,853	20,176,656		20,176,656
Reversion/Reallocation	(1,986,111)	1,986,111			
Total: <u>ACHE</u>	16,791,385	19,902,964	20,176,656		20,176,656

ALABAMA COMMISSION ON HIGHER EDUCATION
2009-2010, 2010-2011 Appropriation, 2011-2012 Request, ACHE Standard, and Recommendation

	Prorated 9.5% 2009-2010 <u>Appropriation</u> (1)	2010-2011 <u>Appropriation</u> (2)	2011-2012 <u>Request</u> (3)	2011-2012 ACHE <u>Standard</u> (4)	2011-2012 ACHE Recommended <u>Budget</u> (5)
Dept. of Veterans' Affairs	32,406,466	19,945,533	33,015,476		20,244,716
American Legion Scholarships	112,500	112,500	112,500		112,500
Dependents Blind Parents	10,405	10,635	10,635		10,635
Medical Scholarships	1,040,920	1,062,926	1,062,926		1,062,926
Optometric Scholarships	150,289	153,610	188,000		155,914
Dental Scholarships	267,802	273,720	408,000		277,826
Nursing Scholarships	232,585	237,725	275,000		241,291
Teacher In-Service Centers	2,715,000	2,775,000	3,103,949		2,816,625
Telephone Revolving Fund	1,119,169	1,143,902	1,143,902		1,143,902
Military Operations Program	438,469				
UAB-Special Mental Health	3,436,404	3,512,347	4,132,177		3,565,032
Total: Other <u>Statewide Pgms.</u>	41,930,009	29,227,898	43,452,565		29,631,367
SUBTOTAL: <u>ALL STATE LEVEL PGMS.</u>	151,278,131	137,228,432	167,770,219		149,102,601
Reversion/Reallocation	(6,766,369)	6,766,369			
TOTAL: <u>ALL STATE LEVEL PGMS.</u>	144,511,762	143,994,801	167,770,219		149,102,601
Knight vs. AL Financial Obligations	6,830,429	2,200,000			
Reversion/Reallocation	(2,061,724)				
Total: Knight vs. AL Financial Obligations (excluding Court-Ordered Pgms)	4,768,705	2,200,000			
SUBTOTAL HIGHER EDUCATION	1,433,107,033	1,448,507,048	1,836,558,399	2,201,305,925	1,598,688,873
Reversion/Reallocation	(8,828,093)	6,766,369			
TOTAL HIGHER EDUCATION	1,424,278,940	1,455,273,417	1,836,558,399	2,201,305,925	1,598,688,873

Funds that were reverted in FY 2009-2010 and reappropriated in FY 2010-2011 are shown where the information was available.

Alabama Commission on Higher Education
 FY 2011-2012 Consolidated Budget Recommendation
 Alabama Public Community, and Technical Colleges

Institution	Academic Program	Public Service	Facilities Renewal Allowance	FY 2011-2012 Consolidated Budget Recommendation
Alabama Southern Community College	6,655,745		704,771	7,360,516
Bevill State Community College	16,237,283		1,758,084	17,995,367
Bishop State Community College	14,100,169	188	2,026,531	16,126,888
Calhoun Community College	21,051,535		1,408,795	22,460,330
Central Alabama Community College	8,006,993		928,193	8,935,186
Chattahoochee Valley Community College	5,557,018		245,863	5,802,881
Drake State Technical College	3,683,740		436,338	4,120,078
Enterprise State Community College	8,526,844		871,101	9,397,945
Faulkner State Community College	10,080,123		559,024	10,639,147
Gadsden State Community College	23,417,606	6,154	2,365,517	25,789,277
Ingram State Technical College	5,895,586		699,923	6,595,509
Jeff Davis Community College	5,602,937		814,920	6,417,857
Jefferson State Community College	20,371,116		1,881,445	22,252,561
Lawson State Community College	14,202,181		1,654,840	15,857,021
L. B. Wallace Community College	7,367,556		894,138	8,261,694
Marion Military Institute	5,660,225		855,366	6,515,591
Northeast Alabama Community College	6,223,386		518,840	6,742,226
Northwest-Shoals Community College	11,672,267		911,974	12,584,241
Reid State Technical College	4,273,739		344,382	4,618,121
Shelton State Community College	19,460,462		1,247,103	20,707,565
Snead State Community College	5,783,176		514,349	6,297,525
Southern Union State Community College	14,067,874		919,190	14,987,064
Trenholm State Technical College	9,673,579		1,031,803	10,705,382
Wallace - Dothan Community College	15,722,178		969,451	16,691,629
Wallace - Hanceville Community College	15,562,269		1,338,796	16,901,065
Wallace - Selma Community College	8,251,638		686,099	8,937,737
Two Year Institutions	287,107,225	6,342	26,586,836	313,700,403

Categories have been adjusted to include Fringe Benefits.

SECTION C
FUNDING FORMULAS
AND CONSOLIDATED BUDGET RECOMMENDATION PROCESS

This section describes the various funding formulas used by the Alabama Commission on Higher Education in arriving at a statement of the level of funding required to achieve the appropriate ACHE Standard for the institutional elements of the Higher Education Budget and the processes used in the construction of the ACHE Standard for Alabama higher education funding.

Overview of ACHE Standard Formula

Formula Components:

(1) Academic Program Calculation (Senior Institutions)

Cost of Instruction and departmental operating expenses

Multiplier

- a) Unit Instructional Cost (For general studies-average regional faculty salaries divided by assumed productivity adjusted for inflation)
- b) Departmental Operating Expenses (overhead and administration)

The multiplier times weighted Semester Credit Hours (Academic weights to reflect cost differences in program mix and instruction level) equals total cost of instruction

Academic Support (5% of estimated cost of instruction and operating expenses)

Research (2% of sum of estimated cost of instruction, operating expenses & academic support)

Sponsored Research (5% of qualifying research)

Public Service (2% of sum of estimated cost of instruction, operating expenses & academic support)

Library Support (Actual unweighted semester hours, less military science, times cost factor)

General Administration & Student Services (set amounts based on headcount enrollment)

Physical Plant & Custodial Services (gross square feet multiplied by cost factor)

General Institutional Support (14% of items above)

Utilities O&M Allowance (calculations are based on consumption rates for heating, electricity, and other utilities and gross square educational and general floor area)

Less—Tuition Adjustment (90% of weighted average credit hour charge multiplied by 3-year average unweighted credit hours—court ordered exceptions include ASU and AAMU)

Utilities Capital Allowance (5-yr amortized expenditures for major repairs and replacement)

1st Professional Health (medicine, optometry, dentistry, veterinary medicine, pharmacy & teaching hospitals)

(2) Other Components of ACHE Standard Calculations (Senior Institutions):

Agricultural Experimentation and Extension (Auburn University-prior year ACHE Standard calculation times 3%)

Organized Research (8% of Academic Program Research Component for doctoral institutions and 4% for non-doctoral institutions)

Public Service (8% of Academic Program Public Service Component)

Facilities Renewal and Replacement (based on volume of physical space, its construction and age, use and nationally estimated engineering rates for renovation and replacement)

Fringe Benefits (Social Security (FICA), Retirement (TRSA) and PEEHIP (Health Insurance of prior year actual expenditures—Two-Year colleges)

Title VI Knight v. State of Alabama Court ordered funding (Desegregation)

(3) Two Year College ACHE Standard Calculations: (plus facilities renewal and fringes)

Community College = median SREB appropriation per FTE times 3-Yr Average Fall FTE

Technical College = Adjusted Expense Rate times 3-Yr Average Fall FTE

Adjusted expense rate is based on average faculty salaries, student/faculty ratio, program support expense adjustment and tuition adjustment

EXPLANATION OF ACHE FUNDING PROCESS FOR HIGHER EDUCATION

The Alabama Commission on Higher Education's recommendations for the funding of Postsecondary education are based on several types of assessment:

- A set of formulas, which relate funding needs for the academic programs of the senior and junior institutions to student credit hours, faculty productivity, and faculty salaries.
- Facilities Renewal Allowance is an estimation of the amount of money needed to provide for the aging of all building elements in a given year.
- Updated values for ongoing research and service activities and for other instructional activities which are not susceptible to "formula" determination, derived from earlier funding levels.
- Analysis of funding needs for new programs and major changes in existing programs based on estimated expenditures and revenues.

Academic Program Formula - Universities

The calculation of the Academic Program multiplier is predicated on the estimated National Association of State Universities and Land-Grant Colleges (NASUGLC) regional General Studies average salary for doctoral and regional institutions. A multiplier is determined from regional faculty salaries adjusted by an estimated departmental expense rate. The multiplier uses an assumed faculty productivity factor of 630 student credit hours (SCH) annually for doctoral institutions and 585 SCH for nondoctoral institutions. These productivity factors imply a General Studies faculty to student ratio of 1:26 for doctoral institutions and 1:24 for regional institutions.

The computations for FY 2011-2012, for the senior institutions, are shown on page C-7.

The amounts calculated above are distributed to institutions according to a formula consisting of the sum of the following elements:

- (a) Weighting Factors
- (b) Other Cost Factors.

**CLASSIFICATION OF INSTRUCTIONAL PROGRAMS (CIP) CODES
AND WEIGHT CATEGORIES USED IN
ALABAMA ACADEMIC PROGRAM FORMULA**

Academic Program Formula Category	Discipline	Academic Complexity Weights					
		Undergraduate		Upper	Graduate		Residency
		LwrDiv	UprDiv	Level	Level 1	Level 2	
1 Business	25. Library Science	0.95	1.29	1.29	3.27	13.45	
	31. Parks, Recreation, Leisure and Fitness Studies						
	43. Security and Protective Services						
	44. Public Administration and Social Service Professions						
	52. Business, Management, Marketing and Related Support Services						
2 General Studies	05. Area, Ethnic, Cultural and Gender Studies	0.85	1.15	1.67	2.73	10.33	
	09. Communications, Journalism and Related Programs						
	10. Communications Technologies/Technicians and Support Services						
	16. Foreign Languages, Literatures, and Linguistics						
	23. English Language and Literature/Letters						
	27. Mathematics and Statistics						
	38. Philosophy and Religious Studies						
	42. Psychology						
	45. Social Sciences						
	54. History						
3 Education	13. Education	0.88	1.20	1.20	2.30	8.79	
4 Health Professions & Rel. Sciences (Excludes First Professional Health)	51. Health Professions and Related Clinical Sciences	2.33	3.15	3.15	5.82	17.60	
5 Engineering/Architect	04. Architecture and Related Services	1.76	2.38	2.38	5.46	17.60	
	14. Engineering						
	15. Engineering Technologies/Technicians						
6 Fine Arts	48. Precision Production	1.78	2.40	2.71	4.95	17.71	
	50. Visual and Performing Arts						
7 Home Economics	19. Family and Consumer Sciences/Human Sciences	1.18	1.60	1.67	3.34	9.31	
8 Science	11. Computer and Information Sciences and Support Services	1.10	1.48	2.11	5.36	17.60	
	26. Biological and Biomedical Sciences						
	40. Physical Sciences						
	41. Science Technologies/Technicians						
9 Military Science	28. Reserve Officer Training Corps	0.10	0.14	0.14			

(Table continued.)

**CLASSIFICATION OF INSTRUCTIONAL PROGRAMS (CIP) CODES
AND WEIGHT CATEGORIES USED IN
ALABAMA ACADEMIC PROGRAM FORMULA**

Academic Program Formula Category	Discipline	Academic Complexity Weights					
		Undergraduate		Upper Level	Graduate		Residency
		LwrDiv	UprDiv		Level 1	Level 2	
10 Law	22. Legal Professions and Studies				2.31		
11 Agriculture	01. Agriculture, Agriculture Operations, and Related Sciences	1.28	1.74	1.74	4.57	16.03	
	03. Natural Resources and Conservation						
12 Veterinary Medicine	51.25 Veterinary Medicine					20.53	
13 Pharmacy	51.2001 Pharmacy	2.57	3.47		5.06	19.01	
14 Interdisciplinary	24. Liberal Arts and Sciences, General Studies and Humanities	1.07	1.45	1.45	3.23	10.33	
	30. Multi/Interdisciplinary Studies						
First Professional Health	Medicine (M.D.) - UAB & USA				5.36	19.00	19.00
	Medicine (M.D.) - UA				5.36	17.60	17.60
	Dentistry (D.D.S., D.M.D.)				5.36	19.00	19.00
	Optometry (O.D.)				5.36	19.00	19.00
	Veterinary Medicine (D.V.M.)				5.36	19.00	19.00
	Pharmacy (Pharm.D.)				5.36	17.60	
15 Not Funded for Senior Colleges	12. Personal and Culinary Services						
	21. Technology Education/Industrial Arts						
	29. Military Technologies						
	32. Basic Skills						
	33. Citizenship Activities						
	34. Health-Related Knowledge and Skills						
	35. Interpersonal and Social Skills						
	36. Leisure and Recreational Activities						
	37. Personal Awareness and Self-Improvement						
	39. Theology and Religious Vocations						
	46. Construction Trades						
47. Mechanics and Repair Technologies/Technicians							
49. Transportation and Material Moving							
99. Not recognized by the U.S. Department of Education							

SOURCE: U. S. Department of Education, Office of Educational Research and Improvement, Center for Education Statistics,
A Classification of Instructional Programs (CIP) and Alabama Commission on Higher Education.

CALCULATION OF ACADEMIC PROGRAM MULTIPLIER
FORMULA YEAR 2011-2012

Salary Year	General Studies			Cumul. Average
	National Salaries		CUPA / NASULGC	
	NASULGC	CUPA		
2009-10	82,504	64,598	0.7830	0.8040
2008-09	81,351	64,413	0.7918	0.8173
2007-08	76,890	62,241	0.8095	0.8297
2006-07	75,888	60,100	0.7920	0.8389
2005-06	73,956	62,383	0.8435	0.8542

Salary Year	NASULGC Region IV General Studies	Inflation Factors	Infl. Adj'd Region IV Estimated	Region IV Estimated Multiplier
2009-10	74,159	1.0383	76,999	146.66
2008-09	73,449	0.9860	72,420	137.94
2007-08	72,350	1.0972	79,383	151.21
2006-07	69,003	1.0526	72,635	138.35
2005-06	66,180	1.0733	71,030	135.30

Source: 2009-2010 National Faculty Salary Survey by Discipline and Rank in Four-Year Colleges and Universities, College and University Professional Association for Human Resources and 2009-2010 Faculty Salary Survey by Discipline and by Region, Office Institutional Research, Information Technology Division, Oklahoma State University.

Notes:

- A. Multiplier equals Region IV inflation-adjusted salary divided by productivity of 630 semester credit hours, plus 20% of salary cost added for Departmental Operating Expense. Multipliers are based on salaries two-years prior to formula year, adjusted for inflation.
- B. Multiplier applied to nondoctoral is weighted by the average ratio of CUPA to NASULGC national salaries times the ratio of doctoral productivity (630 SCH) to nondoctoral productivity (585 SCH).

Estimation of General Studies Average Salary
 Doctoral and Nondoctoral Institutions

2009-2010

CUPA - 2009-2010

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural, & Gender Studies	71,521	818	58,504,178
Communication, Journalism & Related Pgms	63,857	3,565	227,650,205
Communications Tech/Technicians & Support Svcs	67,827	75	5,087,025
Foreign Languages, Literatures, & Linguistics	61,625	4,174	257,222,750
English Language & Literature\Letters	59,590	8,819	525,524,210
Mathematics & Statistics	65,575	6,490	425,581,750
Philosophy & Religious Studies	65,005	1,812	117,789,060
Psychology	66,738	5,172	345,168,936
Social Sciences	68,910	9,457	651,681,870
History	63,178	3,960	250,184,880
General Studies	64,598	44,342	2,864,394,864

NASULGC - 2009-2010

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural, & Gender Studies	80,237	1,269	101,820,753
Communication, Journalism & Related Pgms	74,347	2,514	186,908,358
Communications Tech/Technicians & Support Svcs	-	-	-
Foreign Languages, Literatures, & Linguistics	69,441	4,991	346,580,031
English Language & Literature\Letters	73,695	5,788	426,546,660
Mathematics & Statistics	90,061	4,910	442,199,510
Philosophy & Religious Studies	80,662	1,527	123,170,874
Psychology	88,521	3,907	345,851,547
Social Sciences	92,535	9,017	834,388,095
History	79,334	2,739	217,295,826
General Studies	82,504	36,662	3,024,761,654

NASULGC REG IV- 2009-2010

CIP Category	Avg Salary	Number	Total Salary
Area, Ethnic, Cultural, & Gender Studies	69,539	141	9,804,999
Communication, Journalism & Related Pgms	70,364	625	43,977,500
Communications Tech/Technicians & Support Svcs	-	-	-
Foreign Languages, Literatures, & Linguistics	60,829	1,093	66,486,097
English Language & Literature\Letters	64,576	1,353	87,371,328
Mathematics & Statistics	82,741	1,150	95,152,150
Philosophy & Religious Studies	72,325	430	31,099,750
Psychology	82,108	882	72,419,256
Social Sciences	82,842	1,703	141,079,926
History	73,690	674	49,667,060
General Studies	74,159	8,051	597,058,066

Source: 2009-2010 National Faculty Salary Survey by Discipline and Rank in Four-Year Colleges and Universities, College and University Professional Association for Human Resources and 2009-2010 Faculty Salary Survey by Discipline and by Region, Office Institutional Research, Information Technology Division, Oklahoma State University.

Senior Institutions

1. Instruction: The three-year average of actual on-campus weighted semester credit hours for 2007-2008, 2008-2009, and 2009-2010 is applied to the instructional multiplier. The instructional multiplier is 146.66. Remedial credit hours receive an additional 115% increment.

2. Academic Support: 5% of the amount generated for instruction.

3. Research: 2% of combined amounts for instruction and academic support plus 5% of 2007-2008 sponsored research.

4. Public Service: 2% of combined amounts for instruction and academic support.

5. Library Support: Actual on-campus unweighted semester credit hours (except military science) corresponding to the weighted credit hour value multiplied by the following cost factors:

Undergraduate	\$11.87
Graduate I (Masters)	23.82
Graduate II (Doctoral)	102.02
Law	62.93

6. General Administration and Student Services (GA/SS): For institutions with total approved campus headcount enrollment of less than 4,000:

1 to 2,000 Headcount	\$1,457.22
2,001 to 2,999 Headcount	766.96
3,000 to 3,999 Headcount	344.90

For institutions with total approved headcount enrollment of 4,000 or greater:

First 4,000 Headcount	\$601.03
4,001 to 8,000 Headcount	450.11
Over 8,000 Headcount	404.86

The GA/SS amount is computed based on total approved headcount, then distributed proportionately among the GA/SS components of the formula funded lines of the institution.

Example: Total Approved Headcount	4,000
Main-Campus Headcount	3,800
Approved Branch Campus Headcount	200

Total GA/SS = 4,000 x 601.03 = \$2,404,120.

Main-Campus: $\frac{3,800}{4,000} \times 2,404,120 = \$2,283,914$

Approved Branch Campus $\frac{200}{4,000} \times 2,404,120 = \$120,206$

7. Maintenance of Physical Plant and Custodial Services: Gross square feet (GSF) as of June 30, 2010, multiplied by \$6.01.

8. General Institutional support: 14% of total amount generated in items 1-7.

9. Utilities: This calculation is a combination of two different calculations. The first calculation uses the highest annual consumption rate per gross square foot of E & G floor area for the last three years (July-June, 2007-08, 2008-09, and 2009-10) for heat energy and electrical energy multiplied by projected rates per energy units for 2008-09. The "other" utilities input (water, sewage, and solid waste disposal) is calculated by multiplying the actual rate of consumption per gross square foot of E & G floor area for 2009-10 by a projected rate per energy unit for 2010-11. The projected escalation factors for heating fuel, electricity and other utilities are the same as the inflation factors that were used throughout the calculation of the ACHE Standard (1.011 and 1.027). The second set of calculations are made using a statewide standard, which is based on the average annual consumption rates for heating, electricity, and for other utilities combined. The standard consumption rates are then applied to the projected rates of costs for each institution. The gross E & G floor area as of June 30, 2010 is then multiplied against the higher of these two calculations.

10. Tuition Adjustment: A weighted average credit hour charge was calculated, using 2010-11 tuition and required fees and three-year average unweighted credit hours. Ninety percent (90%) of that rate multiplied by the three-year average of unweighted credit hours (excluding Military Science) is the tuition deduction for all institutions. For the 2011-2012 formula, the weighted per-credit-hour tuition deduction is \$227.45.

Additional Components added after the Academic Program Formula calculation in order to determine the ACHE Standard

The Academic Program Formula provides only a portion of the funds needed to carry out the assignments of Alabama public colleges and universities. Additional components of funding are added to the Academic Program Formula to cover these needs.

These needs include organized research and public service (the historic “line item” activities), facilities renewal, and funds to cover Social Security and Retirement and additional funds for health insurance for the two-year institutions.

Organized Research and Public Service

Doctoral institutions receive an additional eight percent (8.0%) and nondoctoral institutions receive four percent (4.0%) of the amount they received from the academic program formula for Research. All four-year institutions receive eight percent (8.0%) of instructional costs for Public Service.

Facilities Renewal Allowance

The Facilities Renewal Allowance is calculated from a detailed formula based on the volume of physical space, construction, age and use (office, classroom, lab, etc.). Nationally estimated engineering rates for renovation and replacement are used to determine the costs factors. (Page C-16)

Fringe Benefits

Social Security (or FICA), and Retirement (TRSA), and PEEHIP (Health Insurance), are included at the reported actual expenditures of the previous year.

All of these needs, in composite, are referred to as the ACHE Standard.

Community College Funding Needs
Alabama Public Institutions
Fiscal Year 2011-2012

The funding rate per FTE student of the Southern Regional Education Board (SREB) states is calculated. The rate is multiplied by the Alabama Community College three-year average Fall FTE enrollment to produce a total which approximates the amount funded at the average of the SREB states.

STATE	APPROPRIATIONS	FTE ENROLLMENT	\$/FTE
ALABAMA	256,790,464	69,549	3,692
ARKANSAS	160,277,446	40,713	3,937
DELAWARE			
FLORIDA	1,036,554,332	352,533	2,940
GEORGIA	195,700,097	59,263	3,302
KENTUCKY	152,545,229	49,733	3,067
LOUISIANA	93,629,636	32,153	2,912
MARYLAND	253,857,632	89,326	2,842
MISSISSIPPI	213,237,483	58,592	3,639
NORTH CAROLINA	776,189,664	259,867	2,987
OKLAHOMA	172,701,903	50,734	3,404
SOUTH CAROLINA	115,538,974	76,217	1,516
TENNESSEE	199,377,932	60,621	3,289
TEXAS	1,298,852,251	459,267	2,828
VIRGINIA	336,608,250	117,732	2,859
WEST VIRGINIA	59,076,491	17,550	3,366
SREB COHORTS AVERAGE W/O ALABAMA	5,320,937,784	1,793,850	3,105 3,064

Source: SREB Data Exchange, 2009-2010 (preliminary data). Delaware has not submitted data since the 2007-2008 SREB Data Exchange and will no longer be included until data is updated.

Mississippi FTE enrollment from 2008-2009 SREB Data Exchange

Technical Colleges Funding Needs
Alabama Public Institutions
Fiscal Year 2011-2012

Total funding needs for the Alabama technical colleges are estimated by calculating the average salary (9 months) for technical college faculty, applying a standard student: faculty ratio (15:1) to determine instructional salary expense per student FTE, and multiplying this rate by the three-year average Fall FTE enrollment.

AVERAGE FACULTY SALARY 2009-2010	53,688
INFLATION FACTOR 1.03830	55,744
PROJECTED INSTR'L EXP/FTE	3,716
PROJECTED TOTAL EXP (DIVIDE BY .6)	6,194
TUITION ADJUSTMENT	(2,943)
ADJUSTED EXP PER FULL-TIME STUDENT	3,251

CALCULATION OF PROGRAM FUNDING AT SREB STANDARDS
ALABAMA PUBLIC TWO YEAR COLLEGES
FISCAL YEAR 2011-2012

	Three-Year Fall Average Credit Hours			Academic Programs At 2011-2012 Standard (a)			
	Junior/Tnsfr	Technical	Prison	Junior	Technical	Prison	Total
	Regular	Regular	Technical			Technical	
Alabama Southern	8,775	3,744		1,792,440	811,377		2,603,817
Bevill State	27,966	15,209		5,712,522	3,296,254		9,008,776
Bishop	17,404	10,372		3,554,989	2,247,886		5,802,875
Calhoun	43,472	17,963	2,268	8,879,881	3,893,181	491,551	13,264,613
Central Alabama	16,799	5,279		3,431,408	1,144,208		4,575,616
Chattahoochee	15,171	3,121		3,098,930	676,352		3,775,282
Drake		7,467			1,618,348		1,618,348
Enterprise State	12,125	12,941		2,476,801	2,804,746		5,281,547
Faulkner	27,999	5,820		5,719,262	1,261,316		6,980,578
Gadsden	37,787	17,626	921	7,718,590	3,820,214	199,611	11,738,415
Ingram			6,865			1,487,802	1,487,802
Jeff Davis	7,897	2,424	2,785	1,613,128	525,434	603,530	2,742,092
Jefferson State	50,670	11,743		10,350,124	2,545,100		12,895,224
Lawson	25,941	11,970	979	5,298,950	2,594,370	212,182	8,105,502
L. B. Wallace	12,673	5,146		2,588,603	1,115,310		3,703,913
Marion	6,876	381		1,404,538	82,575		1,487,113
Northeast	22,672	6,154		4,631,066	1,333,777		5,964,843
Northwest Shoals	29,543	10,670		6,034,582	2,312,617		8,347,199
Reid		5,453			1,181,775		1,181,775
Shelton	43,354	14,551		8,855,845	3,153,614		12,009,459
Snead	20,070	3,120		4,099,632	676,280		4,775,912
Southern Union	42,933	10,115		8,769,849	2,192,185		10,962,034
Trenholm		12,608			2,732,574		2,732,574
Wallace - Dothan	28,331	11,600	1,588	5,787,011	2,514,179	344,245	8,645,435
Wallace - Hanceville	39,647	18,863		8,098,561	4,088,313		12,186,874
Wallace - Selma	12,708	5,903		2,595,889	1,279,377		3,875,266
TOTAL	550,812	230,243	15,406	112,512,601	49,901,362	3,338,921	165,752,884

Notes:

(a) Recommended funding calculations based on \$3,064 per FTE for Community Colleges and \$3,251 per FTE for Technical Colleges.

Facilities Renewal Requirements

Basis of Estimates:

In its Consolidated Budget Recommendations for the last several budget years, the Alabama Commission on Higher Education included funding, to be provided from nonrecurring revenues, for the renewal of facilities. The amount recommended for each institution is an estimate of the amount of money needed to provide for the aging of all building elements in a given year.

The method used by the Commission staff to estimate facilities renewal needs is not as precise as a carefully prepared engineering estimate based on detailed facilities inspections. However, until each institution has thoroughly documented its entire requirement for repair and renovation, a detailed engineering estimate cannot be prepared and the process followed by the staff provides a consistent and realistic estimate of needs.

The rationale behind the process is as follows:

- (1) The incidence of major repair and the need for general renovation of a building increase with its age, and the relationship is generally skewed toward the older, unrenovated facilities.
- (2) Different elements of a building wear out or become obsolete at different ages. For example, foundations and principal elements of the superstructure are essentially permanent. Exterior walls, plumbing, and lighting have expected life of 40 to 50 years. Roofs and air conditioning should last 20 to 25 years. Interior surfaces require reworking in 10 years or less.
- (3) Different types of buildings, even though constructed to similar standards of quality and of similar materials, are comprised of different combinations ("mixes") of elements, and the cost of replacing or renovating each type depends on the mix and the cost per square foot of each element.
- (4) The "renewal allowance" of a building is defined as the amount that should be budgeted in any year to provide for the aging of all elements of that building. The "renewal backlog" of a building is defined as the summation of all the years' renewal allowances to date, for that building, from its initial completion (or latest complete renovation) and is a measure of the total amount that should be spent to bring the building to essentially new condition. The renewal allowances and backlogs are estimated by multiplying the sums of maximum renewal costs for each 25-year and 50-year element by factors reflecting the age of the building elements.

Attachment 1 summarizes maximum renewal costs, at 2010 construction cost levels, for 50-year and 25-year elements, for different types of college and university buildings, derived from the 2010 Means Square Foot Costs. These construction costs were then adjusted using a regional cost factor of .80. Elements normally requiring reworking or replacement in less than 20 to 25 years (e.g. wall finishes) are not included in renewal costs, on the basis that these elements are dealt with in the course of regular maintenance.

Procedure for Calculating Renewal Allowances and Backlogs

Renewal Allowances and Renewal Backlogs are calculated as follows:

(1) Calculate the current replacement value of each 50-year and 25-year element of each major campus building according to Attachment 1 and determine the Maximum Renewal Allowance for each facility as follows:

(a) 50-year Elements: Maximum Renewal Allowance is the sum of the current replacement costs of 50-year elements.

(b) 25-year Elements: Maximum Renewal Allowance is the sum of the current replacement costs of the 25-year elements.

(2) Calculate the "adjusted age" of the 50-year and 25-year elements for each building that has undergone renovation since its construction (up to 50 and 25 years respectively), as follows:

(a) 50-year Elements - Multiply the proportion of the building area renovated by the time elapsed since each renovation. Multiply the proportion not renovated (i.e. one minus the proportion renovated) by the age of the building up to and including 50 years. The sum of the two (or more) products equals the adjusted age of the 50-year elements.

(b) 25-year Elements - Multiply the proportion of the building area renovated by the time elapsed since each renovation. Multiply the proportion not renovated by the age of the building, up to and including 25 years. The sum of the two (or more) products equals the adjusted age of the 25-year elements.

(3) Calculate the current year Renewal Allowance for each facility as follows:

(a) 50-year Elements - Divide the building age (actual or adjusted), up to and including 50 years, by 1,275 (the sum of the years digits from 1 to 50). Multiply the quotient by the Maximum Renewal Allowance for 50-year elements and this product by the gross building area.

(b) 25-year Elements - Divide the building age (actual or adjusted), up to and including 25 years, by 325 (the sum of the years digits from 1 to 25). Multiply the quotient by the Maximum Renewal Allowance for 25-year elements and this product by the gross building area.

(c) Add products (a) and (b).

(4) Calculate a 50-year element renewal factor and a 25-year renewal factor for each facility as follows:

(a) 50-year Elements - Sum the numbers from 1 to the current (actual or adjusted) age of the building (up to 50 years) and divide by 1,275.

$$F_{50} = \frac{1 + 2 + 3 + \dots + \text{Current Age}}{1 + 2 + 3 + \dots + 49 + 50}$$

(b) 25-year Elements - Sum the numbers from 1 to the current (actual or adjusted) age of the building (up to 25 years) and divide by 325.

$$F_{25} = \frac{1 + 2 + 3 + \dots + \text{Current Age}}{1 + 2 + 3 + \dots + 24 + 25}$$

(5) Calculate the Renewal Backlog for each building as follows:

$$\text{Renewal Backlog} = (\text{50-year renewal factor}) \times (\text{50-year Maximum Renewal Allowance}) + (\text{25-year renewal factor}) \times (\text{25-year Maximum Renewal Allowance}).$$

(6) Sum the calculated current year Renewal Allowances and Renewal Backlogs for all campus facilities broken down by funding category (e.g. E&G, Auxiliary, Health Professions, etc.). The sum of the Renewal Backlogs represents current total renovation backlog. The sum of the current year Renewal Allowances will be the amount that should be appropriated for facility renewal for the current year.

Attachment 2 summarizes Renewal Allowances and Renewal Backlogs for all Alabama public postsecondary institutions of higher education, based on the Fall 2009 Facility Inventory, 2010 Space Data Reports, and 2010 construction cost data.

References

2010 RS Means® Square Foot Costs, R. S. Means Company, Inc. Construction Publishers & Consultants, Kingston, MA, 2009.

ATTACHMENT 1

BUILDING REPLACEMENT COSTS: RENEWAL COSTS BY SYSTEMS - 2010

SYSTEM	CLRM/ADM (1)	LAB (2)	VOC SCHL (3)	LIBRARY (4)	GYM (5)	SW POOL (6)	AUDITORIUM (7)	STUDENT CENTER (8)	UTIL PLANT (9)	SHOP (10)	MEDICAL CLINIC (11)	HOSPITAL (12)	RESIDENCE (13)	DORMITORY (14)	OTHER (15)
<u>50-YEAR</u>															
EXT CLOSURE	4.04	7.22	15.01	13.90	9.56	18.53	20.40	10.68	22.00	7.27	15.10	11.71	14.31	10.61	5.42
INT CONSTRUCTION	11.43	13.18	9.35	6.30	9.42	9.64	9.65	11.90	12.44	2.73	19.41	21.30	11.94	14.62	2.11
CONVEYING	2.46	0.00	1.54	2.92	0.00	0.00	2.76	2.46	0.00	0.00	0.00	3.43	0.00	3.42	0.00
MECHANICAL	13.21	18.50	5.31	3.81	10.71	12.03	5.82	4.46	7.10	5.80	18.21	31.94	3.47	12.20	4.78
ELECTRICAL	17.26	11.82	10.88	9.95	8.80	7.72	13.80	12.68	6.80	8.84	12.75	20.61	2.27	13.82	6.51
SPEC CONSTRUCTION	5.82	0.03	2.23	0.57	0.29	0.14	1.45	0.86	1.15	0.14	0.00	1.67	0.00	3.19	0.61
FIXED EQUIP	3.21	6.86	5.83	6.05	4.52	18.91	4.51	0.00	0.00	9.03	3.20	12.55	1.10	3.10	1.91
TOTAL 50-YEAR	57.43	57.61	50.15	43.51	43.30	66.97	58.38	43.03	49.49	33.82	68.68	103.20	33.08	60.96	21.34
<u>25-YEAR</u>															
ROOFING	2.29	4.70	2.78	2.24	3.89	1.48	5.02	2.51	6.51	6.42	3.67	1.81	4.33	1.39	5.30
INT CONSTRUCTION	7.95	8.90	7.23	6.57	7.39	8.90	8.56	7.10	8.09	1.70	8.00	11.16	7.83	5.55	1.94
HVAC	13.51	13.51	15.98	14.24	7.45	9.51	8.75	13.51	6.20	6.44	10.29	31.18	3.13	8.50	2.66
TOTAL 25-YEAR	23.75	27.11	25.98	23.05	18.72	19.88	22.33	23.12	20.80	14.56	21.96	44.16	15.29	15.45	9.89

Note: Cost Factors are determined using 2010 R. S. Means Square Foot Costs (Adjusted for Region), Construction Publishers & Consultants, Kingston, MA.

ATTACHMENT 2

**FACILITIES RENEWAL FORMULA - FY 2011-2012 SUMMARY
ANNUAL RENEWAL ALLOWANCE**

CATEGORY	GROSS SQUARE FOOTAGE-ALL INSTITUTIONS	SENIOR INSTITUTIONS	TWO-YEAR COLLEGES	MESC/ DAUPHIN ISLAND SEA LAB	TOTAL
ED. & GENERAL	37,127,039	58,465,305	23,830,363	284,234	82,579,902
AUXILIARY	18,490,032	26,937,048	1,771,870	15,012	28,723,930
OTHER	1,999,101	3,427,899	432,285	0	3,860,184
HEALTH E&G	4,522,152	11,258,327	0	0	11,258,327
HOSPITAL	3,348,168	13,391,891	0	0	13,391,891
VET. MEDICINE	518,615	1,190,323	0	0	1,190,323
AAES/ACES	145,697	370,357	0	0	370,357
TOTAL	66,150,804	115,041,150	26,034,518	299,246	141,374,914

**FACILITIES RENEWAL FORMULA - FY 2011-2012 SUMMARY
CUMULATIVE RENEWAL BACKLOG**

CATEGORY	GROSS SQUARE FOOTAGE-ALL INSTITUTIONS	SENIOR INSTITUTIONS	TWO-YEAR COLLEGES	MESC/ DAUPHIN ISLAND SEA LAB	TOTAL
ED. & GENERAL	37,127,039	837,897,098	324,994,163	4,703,500	1,167,594,761
AUXILIARY	18,490,032	378,210,316	24,813,040	215,666	403,239,022
OTHER	1,999,101	46,024,041	6,173,853	0	52,197,894
HEALTH E&G	4,522,152	143,358,208	0	0	143,358,208
HOSPITAL	3,348,168	177,710,665	0	0	177,710,665
VET. MEDICINE	518,615	16,722,729	0	0	16,722,729
AAES/ACES	145,697	8,200,249	0	0	8,200,249
TOTAL	66,150,804	1,608,123,305	355,981,057	4,919,166	1,969,023,528

Source: Alabama Commission on Higher Education. Fall 2009 Facilities Inventory.

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC SENIOR INSTITUTIONS

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ALABAMA A&M UNIVERSITY	E&G	1,072,846	3,013,391	47,655,500
	AUXILIARY	876,889	1,815,204	24,576,363
	OTHER	184,215	217,678	1,975,803
	TOTAL	2,133,950	5,046,273	74,207,666
ALABAMA STATE UNIVERSITY	E&G	1,248,403	2,569,438	36,525,566
	AUXILIARY	620,926	930,475	12,310,615
	OTHER	92,668	285,875	5,227,005
	MICROBIOLOGY	22,746	5,851	8,775
TOTAL	1,984,743	3,791,639	54,071,960	
AUBURN UNIVERSITY	E&G	5,262,443	11,760,566	168,294,879
	AUXILIARY	1,786,953	3,356,257	39,438,309
	OTHER	1,554	4,796	59,953
	VET. MED.	518,615	1,190,323	16,722,729
	AAES/ACES	145,697	370,357	8,200,249
		0		0
	TOTAL	7,715,262	16,682,299	232,716,119
UNIVERSITY OF ALABAMA	E&G	5,330,062	12,340,022	192,465,397
	AUXILIARY	6,180,538	7,990,289	122,970,660
	OTHER	83,137	141,217	1,701,150
	HEALTH E&G	92,219	82,776	639,847
TOTAL	11,685,956	20,554,304	317,777,054	
UNIVERSITY OF ALABAMA - B'HAM	E&G	3,236,667	6,342,095	78,186,878
	AUXILIARY	2,987,491	3,367,181	40,376,477
	OTHER	478,417	861,237	9,173,684
	HEALTH E&G	3,687,500	9,231,142	115,621,388
	HOSPITAL	2,642,664	9,199,334	107,639,592
TOTAL	13,032,739	29,000,989	350,998,020	
UNIVERSITY OF ALABAMA - H'VILLE	E&G	1,658,078	3,608,631	47,794,757
	AUXILIARY	795,946	925,297	10,220,370
	OTHER	161,416	183,326	1,125,657
TOTAL	2,615,440	4,717,254	59,140,784	
UNIVERSITY OF SOUTH ALABAMA	E&G	2,178,363	4,931,034	68,422,470
	AUXILIARY	1,188,571	2,854,189	45,550,750
	OTHER	395,174	1,284,801	18,149,676
	HEALTH E&G	742,433	1,944,409	27,096,972
	HOSPITAL	705,504	4,192,557	70,071,072
TOTAL	5,210,045	15,206,990	229,290,939	

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC SENIOR INSTITUTIONS

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ATHENS STATE UNIVERSITY	E&G	295,325	622,829	9,164,353
	AUXILIARY	12,651	21,621	391,374
	OTHER	39,840	31,946	287,514
	TOTAL	347,816	676,396	9,843,241
AUBURN UNIVERSITY - MONTGOMERY	E&G	618,432	1,755,021	24,826,242
	AUXILIARY	235,663	325,066	3,704,740
	OTHER	10,365	19,260	187,309
	TOTAL	864,460	2,099,347	28,718,291
JACKSONVILLE STATE UNIVERSITY	E&G	1,462,141	2,660,874	32,696,231
	AUXILIARY	562,729	1,141,474	14,910,777
	OTHER	124,026	163,416	2,483,571
	TOTAL	2,148,896	3,965,764	50,090,578
TROY UNIVERSITY	E&G	1,474,212	2,614,690	33,161,201
	AUXILIARY	1,028,814	1,343,433	17,973,937
	OTHER	69,517	134,451	3,965,744
	TOTAL	2,572,543	4,092,574	55,100,883
UNIVERSITY OF MONTEVALLO	E&G	648,773	1,773,844	29,550,464
	AUXILIARY	450,648	1,107,309	17,217,862
	OTHER	17,965	19,245	326,791
	TOTAL	1,117,386	2,900,398	47,095,117
UNIVERSITY OF NORTH ALABAMA	E&G	1,229,927	2,854,727	42,049,938
	AUXILIARY	502,064	1,073,589	17,077,059
	OTHER	39,450	80,651	1,360,185
	TOTAL	1,771,441	4,008,967	60,487,182
UNIVERSITY OF WEST ALABAMA	E&G	548,735	1,612,292	27,094,449
	AUXILIARY	248,034	685,664	11,491,022
	OTHER	0	0	0
	TOTAL	796,769	2,297,956	38,585,471
TOTAL - SENIOR INSTITUTIONS	E&G	26,287,153	58,465,305	837,897,098
	AUXILIARY	17,477,917	26,937,048	378,210,316
	OTHER	1,697,744	3,427,899	46,024,041
	HEALTH E&G	4,522,152	11,258,327	143,358,208
	HOSPITAL	3,348,168	13,391,891	177,710,665
	VET. MED.	518,615	1,190,323	16,722,729
	AAES/ACES	145,697	370,357	8,200,249
TOTAL	53,997,446	115,041,150	1,608,123,305	

Note: Space not owned by the institution or for which facility codes, ownership codes, or construction dates were not supplied are not included in the facilities renewal calculation.

SOURCE: Alabama Commission on Higher Education, Fall 2009 Facility Inventory.

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
ALABAMA SOUTHERN COMM. COL.	E&G	217,447	553,312	8,171,705
	AUXILIARY	3,534	8,767	119,825
	OTHER	0	0	0
	TOTAL	220,981	562,079	8,291,531
BEVILL COMMUNITY COLLEGE	E&G	648,101	1,557,441	21,613,913
	AUXILIARY	165,087	284,473	4,013,722
	OTHER	22,573	66,425	925,035
	TOTAL	835,761	1,908,339	26,552,669
BISHOP COMMUNITY COLLEGE	E&G	572,243	1,499,340	22,485,336
	AUXILIARY	21,237	53,724	851,648
	OTHER	0	0	0
	TOTAL	593,480	1,553,064	23,336,984
CALHOUN COMMUNITY COLLEGE	E&G	713,301	1,343,675	16,821,622
	AUXILIARY	6,089	15,397	231,722
	OTHER	35,397	54,429	1,003,212
	TOTAL	754,787	1,413,501	18,056,556
CENTRAL ALABAMA COMMUNITY COL.	E&G	316,991	837,074	12,646,448
	AUXILIARY	41,462	57,555	804,017
	OTHER	63,092	129,085	2,011,175
	TOTAL	421,545	1,023,714	15,461,640
CHATTAHOOCHEE VALLEY COM. COL.	E&G	126,172	248,450	2,891,853
	AUXILIARY	0	0	0
	OTHER	0	0	0
	TOTAL	126,172	248,450	2,891,853
DRAKE TECHNICAL COLLEGE	E&G	149,241	350,273	4,875,098
	AUXILIARY	0	0	0
	OTHER	0	0	0
	TOTAL	149,241	350,273	4,875,098
ENTERPRISE STATE COMM. COLLEGE	E&G	348,133	846,113	14,296,548
	AUXILIARY	3,787	11,266	220,831
	OTHER	6,653	9,537	157,108
	TOTAL	358,573	866,916	14,674,487

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
FAULKNER COMMUNITY COLLEGE	E&G	225,136	576,201	7,649,882
	AUXILIARY	148,759	223,971	3,395,071
	OTHER	11,880	7,144	57,445
	TOTAL	385,775	807,316	11,102,398
GADSDEN COMMUNITY COLLEGE	E&G	855,456	2,022,092	29,841,144
	AUXILIARY	66,722	190,356	3,111,849
	OTHER	90,537	22,902	80,224
	TOTAL	1,012,715	2,235,350	33,033,217
INGRAM TECHNICAL COLLEGE	E&G	159,222	441,317	6,168,499
	AUXILIARY	0	0	0
	OTHER	0	0	0
	TOTAL	159,222	441,317	6,168,499
JEFF DAVIS COMMUNITY COLLEGE	E&G	232,898	665,193	9,734,279
	AUXILIARY	29,982	48,596	478,530
	OTHER	4,770	14,156	197,034
	TOTAL	267,650	727,945	10,409,843
JEFFERSON STATE COMMUNITY COLL	E&G	855,513	1,873,615	27,657,852
	AUXILIARY	6,100	17,936	270,729
	OTHER	0	0	0
	TOTAL	861,613	1,891,551	27,928,581
LAWSON STATE COMMUNITY COLL	E&G	610,015	1,521,466	20,792,626
	AUXILIARY	52,981	60,371	711,786
	OTHER	1,122	3,617	51,715
	TOTAL	664,118	1,585,454	21,556,127
L. B. WALLACE COMMUNITY COLLEGE	E&G	293,086	745,379	11,167,676
	AUXILIARY	0	0	0
	OTHER	2,848	2,150	18,269
	TOTAL	295,934	747,529	11,185,945
MARION MILITARY INSTITUTE	E&G	235,852	511,040	7,225,296
	AUXILIARY	110,835	251,930	3,488,914
	OTHER	0	0	0
	TOTAL	346,687	762,970	10,714,210

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
NORTHEAST COMMUNITY COLLEGE	E&G	313,296	701,228	10,077,078
	AUXILIARY	5,913	18,732	306,582
	OTHER	9,198	5,676	45,306
	TOTAL	328,407	725,636	10,428,966
NORTHWEST-SHOALS COMM COL	E&G	452,640	1,006,554	12,902,576
	AUXILIARY	25,858	58,896	779,154
	OTHER	0	0	0
	TOTAL	478,498	1,065,450	13,681,729
REID TECHNICAL COLLEGE	E&G	90,556	200,606	2,558,901
	AUXILIARY	4,210	10,342	126,585
	OTHER	0	0	0
	TOTAL	94,766	210,948	2,685,486
SHELTON COMMUNITY COLLEGE	E&G	699,675	1,144,838	10,167,802
	AUXILIARY	20,356	29,312	203,600
	OTHER	5,800	21,794	390,145
	TOTAL	725,831	1,195,944	10,761,547
SNEAD COMMUNITY COLLEGE	E&G	256,058	603,749	8,120,983
	AUXILIARY	44,444	78,224	1,088,164
	OTHER	8,921	14,098	156,166
	TOTAL	309,423	696,071	9,365,313
SOUTHERN UNION COMMUNITY COL	E&G	572,217	1,014,843	12,050,724
	AUXILIARY	118,033	147,400	2,275,317
	OTHER	0	0	0
	TOTAL	690,250	1,162,243	14,326,041
TRENHOLM TECHNICAL COLLEGE	E&G	234,773	625,963	9,549,525
	AUXILIARY	7,773	25,482	420,425
	OTHER	20,476	44,757	577,412
	TOTAL	263,022	696,202	10,547,362

FACILITIES RENEWAL ALLOWANCES AND BACKLOGS - FY 2011-2012
PUBLIC COMMUNITY AND TECHNICAL COLLEGES

INSTITUTION	CATEGORY	BUILDING AREA (GSF)	ANNUAL RENEWAL ALLOWANCE (FORMULA) (\$)	CUMULATIVE RENEWAL BACKLOG (FORMULA) (\$)
WALLACE-DOTHAN COMMUNITY COL.	E&G	499,759	854,709	10,557,020
	AUXILIARY	16,643	29,427	444,645
	OTHER	0	0	0
	TOTAL	516,402	884,136	11,001,665
WALLACE-HANCEVILLE COMM. COL.	E&G	787,041	1,550,332	17,551,453
	AUXILIARY	94,510	146,260	1,427,888
	OTHER	2,960	9,008	120,698
	TOTAL	884,511	1,705,600	19,100,039
WALLACE-SELMA COMMUNITY COL.	E&G	245,358	535,560	7,418,325
	AUXILIARY	1,440	3,453	42,034
	OTHER	15,130	27,507	382,911
	TOTAL	261,928	566,520	7,843,270
TOTAL COMMUNITY & TECHNICAL COL	E&G	10,710,180	23,830,363	324,994,163
	AUXILIARY	995,755	1,771,870	24,813,040
	OTHER	301,357	432,285	6,173,853
	TOTAL	12,007,292	26,034,518	355,981,057

Note: Space not owned by the institution or for which facility codes, ownership codes, or construction dates were not supplied are not included in the facilities renewal calculation.

SOURCE: Alabama Commission on Higher Education, Fall 2009 Facility Inventory.

SECTION D

DATA ELEMENTS SUPPORTING THE FUNDING FORMULAS ALL PUBLIC INSTITUTIONS

This section presents the basic data elements and those derived statistics that are inputs to the formulas used by the Alabama Commission on Higher Education in determining the ACHE Standards for funding of Alabama public institutions of higher education.

INSTITUTION	THREE YEAR AVERAGE		SPONSORED RESEARCH	THREE YEAR AVERAGE UNWEIGHTED SEMESTER CREDIT HOURS					THREE YEAR AVERAGE HEADCOUNT BALANCE			UTILITY ALLOWANCE	GROSS SQ. FEET	3 Yr Avg. UNW/TED MILITARY CREDIT HRS	
	WEIGHTED CHP	REMEDIAL INCREMENT		LOWERDIV	UPPERDIV	G-1	G-2	LAW&OTHER	AP PLUS		TOTAL ALL UNITS				
									MILITARY	OTHER					
DOCTORAL															
AAM	244,170	136	7,259,372	91,472	39,871	12,346	4,246		5,422	0	5,422	2,949,584	1,156,246	0	
ASU	242,879	8,137	2,330,695	107,945	35,662	11,714	3,708		5,400	11	5,411	3,918,035	995,183	183	
AU	1,230,737	0	57,143,450	361,800	208,289	34,638	26,449		23,260	906	24,166	18,047,686	5,267,145	1,937	
UA	1,281,648	2,138	28,911,982	418,020	224,563	50,903	23,325	16,098	27,906	101	28,007	15,693,790	5,223,899	1,192	
UAB	511,653	3,687	14,461,797	169,804	83,034	35,287	8,667		11,503	5,754	17,257	6,323,689	983,817	436	
UAH	364,891	1,371	69,561,311	89,233	64,127	17,617	3,833	0	7,541		7,541	5,089,413	1,658,870	162	
USA	375,294	0	15,933,902	177,104	79,375	17,664	1,919	0	9,541	4,173	13,714	7,839,468	2,216,502	687	
USA-Bald	6,244	0		740	3,109	688	0	0	169	13,545	13,714	94,944	17,421	0	
Subtotal Doctoral	4,257,516	15,469	195,602,510	1,416,118	738,030	180,857	72,147	16,098	90,742		0	59,956,609	17,519,083	4,597	
UAB-HLTH	753,256		78,016,748	3,108	29,369	42,058	23,586		3,813	13,444	17,257	10,426,806	1,926,707		
UAB-Opt	9,879		2,164,754	0	0	175	508		24	17,233	17,257	518,173	71,119		
USA-HLTH Health Related	440,004			7,897	32,855	29,985	8,159		3,487	10,227	13,714				
	1,203,139		80,181,502	11,005	62,224	72,218	32,253	0	7,324			10,944,979	1,997,826	0	
UA-Medicine	73,480	0	181,739	0	0	0	3,300	875	101	27,906	28,007	333,569	92,197		
UAB-Medicine	722,326	0	140,375,829	0	0	14,240	13,350	20,650	1,449	15,808	17,257	19,722,777	3,986,829		
UAB-Dentistry	130,345	0	5,633,354	0	0	4,680	4,600	940	280	16,977	17,257	2,304,940	276,668		
UAB-Optometry	104,085	0	6,233,783	0	0	3,680	4,200	240	188	17,069	17,257	1,385,911	185,443		
USA-Medicine	272,249	0	2,285,646	0	0	6,040	6,950	5,675	517	13,197	13,714	2,036,494	742,433		
AU-Vet Med	193,341	0	0	0	0	8,280	7,480	360	412	23,754	24,166	1,709,766	544,338	0	
AU-Pharmacy	222,923	0	0	0	0	10,320	9,520	0	494	23,672	24,166	0	0	0	
First Prof. Health:	1,718,749	0	154,710,352	0	0	47,240	49,400	28,740	3,441			27,493,457	5,827,908	0	
ASU-Microbiology	2,957			0	0	0	168		11	5,400	5,411	173,984	22,746		
Subtotal Doctoral Other	2,957	0	0	0	0	0	168	0	11			173,984	22,746		
TOTAL DOCTORAL	7,182,361	15,469	430,494,363	1,427,123	800,254	300,315	153,968	44,838	101,518			98,569,029	25,367,563	4,597	
NONDOCTORAL															
ATHENS	111,325	0	0	0	78,312	0	0		3,411		3,411	755,470	299,196	0	
AUM	172,715	5,223	85,790	71,992	40,859	13,307	137		5,292		5,292	2,028,233	619,314	153	
JSU	315,718	4,295	224,233	114,093	82,548	23,626	0		8,930	23	8,953	4,098,928	1,462,141	1,676	
JSU-Gad	3,389			0	2,638	45	0		23	8,930	8,953			0	
TROY	515,904	15,278	142,513	198,419	117,462	46,587	234		15,385	614	15,999	3,871,329	1,393,523	613	
UM	110,263	0	0	45,105	27,236	7,962	0		3,078		3,078	1,731,177	656,035	0	
UNA	252,280	1,722	34,804	90,856	63,738	19,466	0		7,193		7,193	3,289,593	1,218,242	170	
UWA	193,462	1,671	0	35,337	15,258	56,093	0		3,659		3,659	1,558,195	539,915	0	
Subtotal Nondoctoral	1,675,056	28,189	487,340	555,802	428,051	167,086	371		46,971			17,332,925	6,188,366	2,612	
TROY-FR	3,354	106		1,224	783	370	0	0	206	15,793	15,999				
TROY-Max	9,097	526		1,517	1,231	1,757	0	0	408	15,591	15,999				
TOTAL NONDOC	1,687,507	28,821	487,340	558,543	430,065	169,213	371	0	47,585			17,332,925	6,188,366	2,612	
GRAND TOTAL	8,869,868	44,290	430,981,703	1,985,666	1,230,319	469,528	154,339	44,838	149,103			115,901,954	31,555,929	7,209	

HEADCOUNT FOR AP PURPOSES: PUBLIC SENIOR UNIVERSITIES

Doctoral	Preliminary Fall 2008 Headcount Enrollment	Preliminary Fall 2009 Headcount Enrollment	Preliminary Fall 2010 Headcount Enrollment	Three Year Average Headcount Enrollment
Alabama A&M University	5,124	5,327	5,814	5,422
Alabama State University	5,380	5,445	5,376	5,400
ASU-Microbiology	7	10	15	11
Auburn University	23,200	23,056	23,524	23,260
University of Alabama	26,409	28,018	29,292	27,906
Univ. of Alabama at Birmingham	11,186	11,433	11,891	11,503
Univ. of Alabama in Huntsville	7,385	7,632	7,605	7,541
Univ. of South Alabama-Main	9,502	9,624	9,498	9,541
Baldwin	278	193	36	169
Doctoral On-Campus	88,471	90,738	93,051	90,753
UAB Health	3,600	3,872	3,966	3,813
UAB Vision Science	27	23	23	24
USA Health	3,153	3,479	3,829	3,487
Doctoral Hlth Related	6,780	7,374	7,818	7,324
AU-PHARM	500	488	494	494
AU-VET MED	421	410	405	412
UA-MED	97	104	103	101
UAB-MED	1,424	1,461	1,461	1,449
UAB-Dentistry	266	284	289	280
UAB-Optometry	185	190	188	188
USA-MED	497	525	530	517
Doctoral First Prof Hlth	3,390	3,462	3,470	3,441
Total Doctoral	98,641	101,574	104,339	101,518
<u>Nondoctoral</u>				
Athens State University	3,191	3,439	3,604	3,411
Auburn Univ. in Montgomery	5,071	5,320	5,486	5,292
Jacksonville State Univ.	8,912	8,829	9,048	8,930
Gadsden	25	22	22	23
Troy University	15,204	15,348	15,604	15,385
University of Montevallo	3,030	3,082	3,121	3,078
University of North Alabama	7,183	7,246	7,150	7,193
University of West Alabama	3,362	3,525	4,090	3,659
Nondoctoral On Campus	45,978	46,811	48,125	46,971
TROY -Ft. Rucker	193	230	195	206
TROY -Maxwell	471	434	318	408
Total Nondoctoral	46,642	47,475	48,638	47,585
TOTAL	145,283	149,049	152,977	149,103

SOURCE: Alabama Commission on Higher Education's Preliminary Opening Fall Enrollment Report.

Unweighted Semester Credit Hours - Remedial Courses
2007-2008 - 2009-2010
Public Senior Institutions

	<u>2007-08</u>	<u>2008-09</u>	<u>2009-10</u>	<u>3 Year Average</u>
<u>Doctoral</u>				
Alabama A&M University	231	60	63	118
Alabama State University	7,323	6,627	7,278	7,076
Auburn University				
University of Alabama	1,425	2,460	1,692	1,859
University of AL at Birmingham	3,260	3,022	3,336	3,206
University of AL in Huntsville	1,251	1,113	1,212	1,192
University of South Alabama				
Total Doctoral	13,490	13,282	13,581	13,451
<u>Nondoctoral</u>				
Athens State University				
Auburn University in Montgomery	3,476	4,101	6,049	4,542
Jacksonville State University	3,747	3,669	3,788	3,735
Troy University	10,713	14,298	14,844	13,285
Troy University-Ft Rucker		60	123	92
Troy University-Maxwell	522	351	498	457
University of Montevallo				
University of North Alabama	1,488	1,329	1,674	1,497
University of West Alabama	1,641	1,530	1,188	1,453
Total Nondoctoral	21,587	25,338	28,164	25,030
Total Senior Institutions	35,077	38,620	41,745	38,481

SOURCE: Alabama Commission on Higher Education, Credit Hour Production Reports.

Utilities Allowances FY 2011-2012 Budget Formula
Senior Institutions
Education and General Space Only

Institution	Institutional Utilities Allowance	E&G Space June 30, 2010 (GSF)	Maximum Purch Util Allowance	Utilities O&M Expended FY 2009-10 (\$)	Total Purch Util Allowance
AAMU	2.551	1,156,246	2,949,584	0	\$2,949,584
ASU	3.937	995,183	3,918,035	0	3,918,035
ASU-MICRO	7.649	22,746	173,984	0	173,984
AU	3.141	5,267,145	16,544,102	1,503,584	18,047,686
AU-Vet Med	3.141	544,338	1,709,766	0	1,709,766
UA	2.778	5,223,899	14,511,991	1,181,799	15,693,790
UA-Medical	3.618	92,197	333,569	0	333,569
UAB	5.870	983,817	5,775,006	548,683	6,323,689
UAB-Optics	7.286	71,119	518,173	0	518,173
UAB-Health	4.993	1,926,707	9,620,048	806,758	10,426,806
UAB-Medical	4.724	3,986,829	18,833,780	888,997	19,722,777
UAB-Dentistry	7.993	276,668	2,211,407	93,533	2,304,940
UAB-Optometry	7.286	185,443	1,351,138	34,773	1,385,911
UAH	3.068	1,658,870	5,089,413	0	5,089,413
USA	3.051	2,216,502	6,762,548	1,076,920	7,839,468
USA-BC	5.450	17,421	94,944	0	94,944
USA-Medical	2.743	742,433	2,036,494	0	2,036,494
Doctoral	0.000	25,367,563	92,433,982	6,135,047	98,569,029
ATHENS	2.525	299,196	755,470	0	755,470
AUM	3.263	619,314	2,020,822	7,411	2,028,233
JSU	2.775	1,462,141	4,057,441	41,487	4,098,928
TROY	2.763	1,393,523	3,850,304	21,025	3,871,329
UM	2.061	656,035	1,352,088	379,089	1,731,177
UNA	2.622	1,218,242	3,194,231	95,362	3,289,593
UWA	2.886	539,915	1,558,195	0	1,558,195
Nondoctoral		6,188,366	16,788,550	544,374	17,332,925
Total		31,555,929	109,222,533	6,679,421	115,901,954

UTILITIES CAPITAL REPLACEMENT ALLOWANCE - CAPITAL EXPENDITURES
 SENIOR INSTITUTIONS - E&G SPACE ONLY
 2005-2006 - 2009-2010

INSTITUTIONS	UTILITIES CAPITAL EXPENDITURES 2005-2006 (\$)	UTILITIES CAPITAL EXPENDITURES 2006-2007 (\$)	UTILITIES CAPITAL EXPENDITURES 2007-2008 (\$)	UTILITIES CAPITAL EXPENDITURES 2008-2009 (\$)	UTILITIES CAPITAL EXPENDITURES 2009-2010 (\$)	CAPITAL ALLOW. (20% OF EACH YEARS TOTALS) (\$)
A&M						0
ASU						0
ATHENS						0
AU	2,078,377	7,014,672	3,396,330	6,880,637	1,239,832	4,121,970
AUM	84,634					16,927
JSU					148,635	29,727
TROY	225,316					45,063
UA		1,849,202	5,257,503	1,948,279	575,929	1,926,183
UAB-UNIV. COL.						0
UAB-OPTOMETRY	4,881	55,561	25,296	27		17,153
UAB-OPTICS						0
UAB-DENTAL	15,082	179,936	73,192			53,642
UAB-MEDICAL	90,574	1,035,014	427,013	25,320		315,584
UAB-HEALTH	98,932	1,278,157	545,410	42,625		393,025
UAH						0
UM						0
UNA				25,410		5,082
USA		102,165	397,602	349,605	146,561	199,187
UWA						0
TOTAL	2,597,795	11,514,706	10,122,348	9,271,903	2,110,957	7,123,543

Source: Alabama Commission on Higher Education, Utilities Replacement Reports.

ALABAMA PUBLIC FOUR-YEAR UNIVERSITIES
Sponsored Research Dollars 2008-2009
(For the 2011-2012 AP Formula)

<u>DOCTORAL</u>	<u>Research Amount</u>	<u>5% of Res. Amount</u>
Alabama A&M University	7,259,372	362,969
Alabama State University	2,330,695	116,535
Auburn University	57,143,450	2,857,173
University of Alabama		
- Main	28,911,982	1,445,599
- Medicine	181,739	9,087
UA: Subtotal	29,093,721	1,454,686
Univ. of AL in Birmingham		
-Academic Affairs	14,461,797	723,090
-Health	78,016,748	3,900,837
-Physiological Optics	2,164,754	108,238
-Dentistry	5,633,354	281,668
-Medicine	140,375,829	7,018,791
-Optometry	6,233,783	311,689
UAB: Subtotal	246,886,266	12,344,313
University of AL in Huntsville	69,561,311	3,478,066
University of South Alabama		
-Main	15,933,902	796,695
-College of Medicine	2,285,646	114,282
USA: Subtotal	18,219,549	910,977
 <u>NONDOCTORAL</u>		
Athens State University		0
Auburn Univ. at Montgomery	85,790	4,289
Jacksonville State University	224,233	11,212
Troy University	142,513	7,126
University of Montevallo		0
University of North Alabama	34,804	1,740
University of West Alabama		0
TOTAL	\$430,981,703	\$21,549,085

SOURCE: Alabama Comm. on Higher Education Sponsored Research Survey.